



## REVIEW

# A review of ultrawide bandgap materials: properties, synthesis and devices

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## Abstract

Ultrawide bandgap (UWBG) materials such as diamond, Ga<sub>2</sub>O<sub>3</sub>, hexagonal boron nitride (h-BN) and AlN, are a new class of semiconductors that possess a wide range of attractive properties, including very large bandgap, high critical electric field, high carrier mobility and chemical inertness. Due to these outstanding characteristics, UWBG materials are promising candidates to enable high-performance devices for power electronics, ultraviolet photonics, quantum sensing and quantum computing applications. Despite their great potential, the research of UWBG semiconductors is still at a nascent stage and represents a challenging interdisciplinary research area of physics, materials science and devices engineering. In this review, the material properties, synthesis methods and device applications of UWBG semiconductors diamond, Ga<sub>2</sub>O<sub>3</sub>, h-BN and AlN will be presented and their recent progress, challenges and research opportunities will be discussed.

**Key words:** ultrawide bandgap materials; diamond; Ga<sub>2</sub>O<sub>3</sub>; h-BN; AlN; doping; power electronics; quantum technologies.

## INTRODUCTION

Since the invention of transistors in 1947, the semiconductor community has witnessed the emergence of three generations of semiconductor materials that are driving our modern society, from the first-generation semiconductors such as Si and Ge to the second-generation semiconductors such as GaAs and InP [1], to the recent third-generation wide bandgap (WBG) materials such as GaN and SiC. In the last three decades, technologies for WBG materials have been advancing closer to maturity with successful commercialization in applications of light-emitting diodes (LEDs), RF electronics and power electronics [2, 3]. However, the WBG semiconductor-based devices are approaching the theoretical limit of their achievable performance. To be prepared for the future demanding electronic and photonic applications, the semiconductor community is investigating the

next-frontier devices based on ultra WBG (UWBG) semiconductors, such as diamond, Ga<sub>2</sub>O<sub>3</sub>, Hexagonal boron nitride (h-BN) and AlN. Due to their unique material properties, including UWBG, ultrahigh critical electric field and chemical inertness, UWBG semiconductors have garnered considerable research interest in power conversions, RF amplifiers, quantum computing, quantum photonics and extreme-environment devices (e.g. radiation and high temperature). Diamond is an exceptional material for high-voltage, high-temperature and high-frequency applications due to its high electron and hole mobility and high thermal conductivity [4]. In addition, the diamond nitrogen-vacancy (NV) center is the leading candidate for qubits in quantum computing. With the availability of large-size high-quality melt-grown bulk substrates and versatile epitaxial thin-film growth methods, Ga<sub>2</sub>O<sub>3</sub> is currently extensively researched

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for power, RF and deep ultraviolet (DUV) devices. BN possesses extraordinary electrical, optical, thermal and mechanical properties. It can serve as both passive components in devices such as dielectric layers, substrates and encapsulation materials and device active regions for various photonic [e.g. DUV, nonlinear optics and single-photon emission (SPE)] and electronic applications. Low thermal conductivity and electron mobility can be constraining factors for Ga<sub>2</sub>O<sub>3</sub> electronics, where innovative materials and devices engineering have been proposed to mitigate these issues. AlN has the largest bandgap among the UWBG semiconductor family with large thermal conductivity, strong piezoelectric coefficient, high chemical stability and compatibility with traditional complementary metal-oxide semiconductor (CMOS) manufacturing. For electronics, AlN-based flexible electronics and power transistors and diodes have been demonstrated [5, 6]. For photonics, AlN point defect-based single-photon emitters and waveguides can be integrated on AlN-on-sapphire platform for photonic integrated circuits (PICs). In this review, we will discuss the material properties, synthesis, devices and applications of diamond, Ga<sub>2</sub>O<sub>3</sub>, h-BN and AlN, and present the recent progress, challenges and opportunities in these UWBG semiconductors.

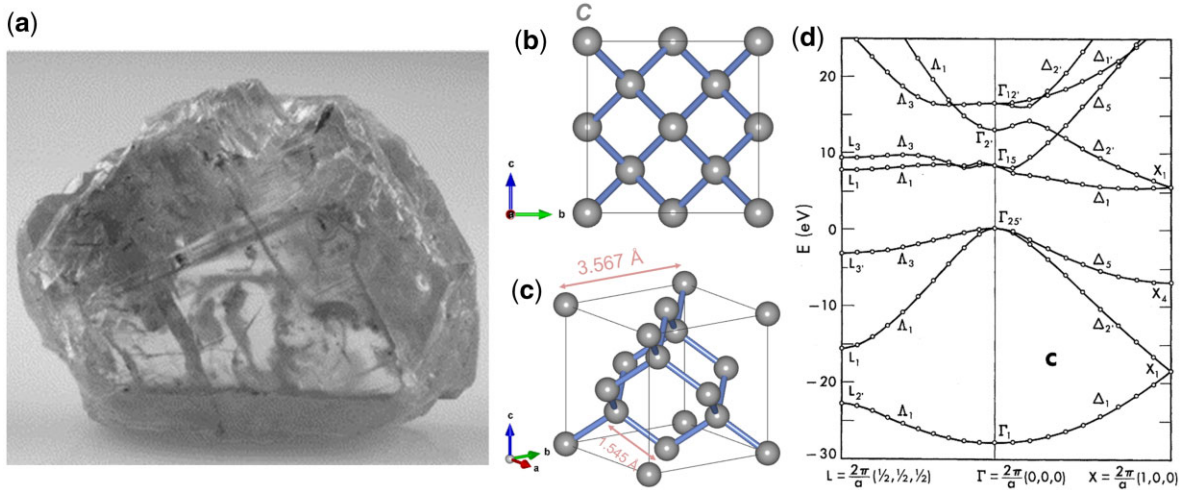
## PART I DIAMOND

### Diamond material properties

Diamond is constituted by carbon atoms with a structure that is face-centered cubic and belongs to the Fd3m space group, as

shown in Fig. 1. The C–C bond length in diamond is 1.545 Å and the lattice constant of the diamond structure is 3.567 Å. Diamond has an indirect bandgap of 5.47 eV, a binding energy of 0.07 eV for the indirect exciton and an effective mass of electrons of 0.2  $m_0$  (where  $m_0$  is the free electron mass) [7, 8]. The main properties of diamond and other UWBG materials are shown in Table 1. Diamond has excellent properties for electronics, including a breakdown field of  $>10$  MV cm<sup>-1</sup>, a high carrier mobility of  $>5000$  cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>, a large electron saturation velocity of  $>2 \times 10^7$  cm s<sup>-1</sup> and a high thermal conductivity of  $>2000$  W m<sup>-1</sup> K<sup>-1</sup>. These properties render diamond an exceptional material for high-voltage [9], high-temperature [10] and high-frequency [11] applications. Its large bandgap, high carrier mobility, low atomic number and radiation hardness are also highly attractive for radiation detection [12, 13].

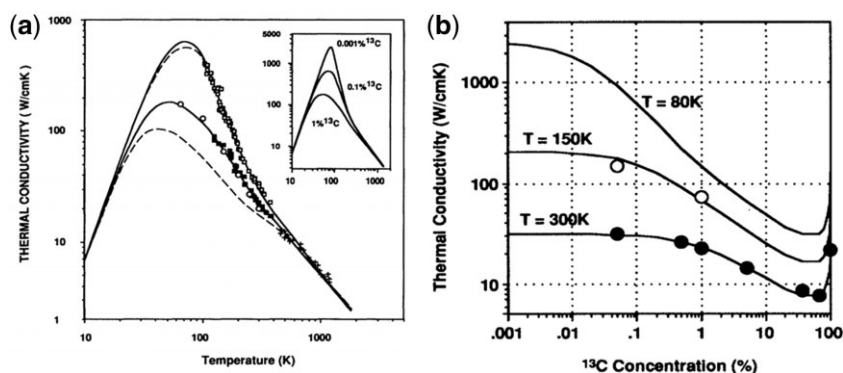
Diamond is composed of 98.9% <sup>12</sup>C with a hardness of 10 Mohs [17]. The calculated tensile strengths of diamonds are 225, 130 and 90 GPa for the [100], [110] and [111] directions, respectively [18]. The compressive strengths of diamond by calculation are 223.1, 469.0 and 470.4 GPa in the [100], [110] and [111] directions, respectively [19]. The calculated Young's moduli of diamond by chemical vapor deposition (CVD) are 1164, 1151 and 1106 GPa in the (111), (110) and (100) planes, respectively [20]. The elastic constants of diamond are 9.5–10.8, 1.3–3.9 and 4.3–5.8 dyn cm<sup>-2</sup> for constants  $c_{11}$ ,  $c_{12}$  and  $c_{44}$ , respectively [21–23]. The thermal conductivity of diamond at 300 K is around 20 W cm<sup>-1</sup> K<sup>-1</sup> and increases with decreasing temperature at  $>100$  K, as shown in Fig. 2a. The thermal conductivity of diamond with 99.999% <sup>12</sup>C can be higher than 2000 W cm<sup>-1</sup> K<sup>-1</sup> at



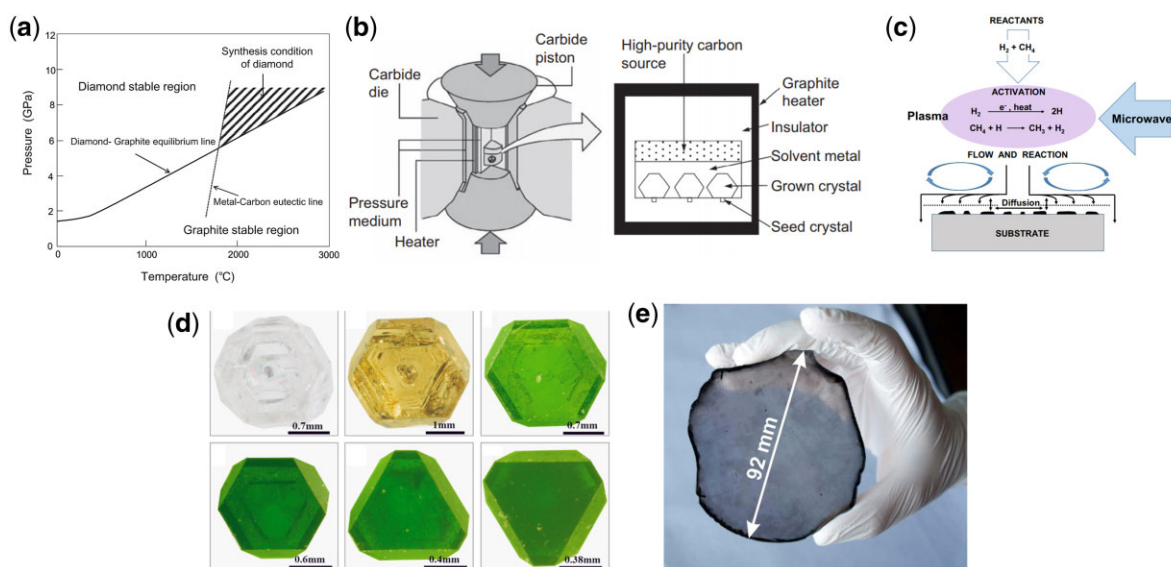
**Figure 1:** (a) Photograph of natural diamonds [14]. Reprinted from Ref. [14] with permission. Copyright 2003, Elsevier. Diamond crystal structure: (b) arrangement of atoms from [100] direction; (c) face-centered cubic structure. (d) Calculated electronic band structure of diamond [8]. Reprinted from Ref. [8] with permission. Copyright 1966, American Physical Society.

**Table 1:** Properties of diamond and other UWBG materials at room temperature [15, 16]

Parameters	AlN	$\beta$ -Ga <sub>2</sub> O <sub>3</sub>	h-BN (2D)	Diamond
Bandgap (eV)	6	4.9	6.1	5.5
Breakdown field $E_C$ (MV cm <sup>-1</sup> )	15.4	10.3	7	13
Electron drift mobility (cm <sup>2</sup> V <sup>-1</sup> s <sup>-1</sup> )	426	180	48 ± 24 (Si doping)	7300
Hole drift mobility (cm <sup>2</sup> V <sup>-1</sup> s <sup>-1</sup> )	/	/	/	5300
Saturated electron velocity $v_{\text{sat}}$ (10 <sup>7</sup> cm/s)	1.3	1.1	/	2.3
Relative permittivity	9.76	10	4.97	5.7
Thermal conductivity (W m <sup>-1</sup> K <sup>-1</sup> )	319	27	550 ± 75	2290



**Figure 2:** (a) Thermal conductivity of diamond with different  $^{13}\text{C}$  concentrations [24]. The inset shows the calculated curves by the Callaway theory. Reprinted from Ref. [24] with permission. Copyright 1993, American Physical Society. (b) Thermal conductivity of diamond as a function of the percentage of  $^{13}\text{C}$  at different temperatures [24]. Reprinted from Ref. [24] with permission. Copyright 1993, American Physical Society.



**Figure 3:** (a) Phase diagram of diamond growth [27]. Reprinted with permission from Ref. [27]. Copyright 2016, Elsevier. (b) HPHT method (temperature-gradient) [27]. Reprinted with permission from Ref. [27]. Copyright 2016, Elsevier Ltd. (c) Schematic of using the microwave plasma CVD to synthesize the diamond [27]. Reprinted with permission from Ref. [27]. Copyright 2016, Elsevier Ltd. (d) The image of the HPHT diamond single crystal [29]. Reprinted from Ref. [29] with permission. Copyright 2016, Elsevier. (e) As-grown diamond single crystal synthesized by CVD [34].

$\sim 80$  K if not limited by point defect scattering [24]. Figure 2b indicates that the thermal conductivity of diamond at room temperature increases with the purity of  $^{12}\text{C}$  until it is beyond 99.9%. However, at lower temperatures (e.g. 80 K), the thermal conductivity of diamond can be further enhanced for improved purity of  $^{12}\text{C}$ .

### Diamond synthesis and characterization

There are two main synthesis approaches for growing diamond single crystals, including high pressure and high-temperature (HPHT) method [25, 26] and CVD method [27, 28]. The HPHT and CVD methods are conducted under conditions in the diamond phase and graphite phase [27], respectively (Fig. 3a). The HPHT method can be categorized into solubility-gradient, temperature-gradient, no-catalyst conversion and shock compression methods. The schematic of the apparatus for the temperature-gradient method is shown in Fig. 3b, where the typical conditions include pressure of  $>5.5$  GPa and temperature of  $1300\text{--}1400^\circ\text{C}$  (Fig. 3a). The growth rate can be tuned by changing the temperature

difference between the carbon source and the seed crystal. A solution of molten metal such as Fe, Co and Ni is situated between them, dissolving the carbon. Due to the temperature difference ( $20\text{--}50^\circ\text{C}$ ) and the concentration difference, the solution with carbon becomes supersaturated at the seed crystal, resulting in diamond growth on the seed crystal [27]. Dislocations in HPHT diamonds mainly come from the seed crystals [26]. Figure 3d shows the as-grown HPHT diamond single crystals [29]. The CVD method can be categorized into DC-, microwave- or RF-plasma CVD depending on the plasma source [27, 30, 31]. A mixture of methane ( $\text{CH}_4$ ) and hydrogen ( $\text{H}_2$ ) is the source of the CVD growth of diamonds (Fig. 3f). H radicals play multiple roles during the CVD growth, including terminating the dangling carbon bonds on the diamond surface, maintaining the  $\text{sp}^3$  coordination of the surface carbon atoms, etching the graphite phase, producing  $\text{CH}_3$  radicals and activating the surface bond [27, 32]. One typical defect in CVD diamond is hillocks [27, 33]. Figure 3e shows the as-grown diamond single crystal synthesized by CVD [34].

The growth and devices based on diamond homoepitaxial layers are still hindered by the size of HPHT diamond substrates

[27, 34]. Commercial single-crystal diamonds are available up to 10 mm and can go to around 30 mm by the mosaic process [28]. The commercial type-Ib HPHT diamonds exhibit dislocation densities of  $10^3$ – $10^6$   $\text{cm}^{-2}$  [35] while the type-IIa has been reported to show a lower density of around  $10^3$   $\text{cm}^{-2}$  [36]. A homoepitaxial single-crystal diamond mosaic wafer as large as  $40 \times 60$  mm has been reported, which was formed by combining the cloning and overgrowth of tiled substrates [37, 38]. Diamond heteroepitaxy on other substrate materials can be an alternative route to solving the problem, but it also face challenges such as lattice mismatch, thermal expansion coefficient mismatch and substrate stability under CVD growth conditions [28]. To grow diamond films on foreign substrates, accurate control of nucleation/seeding is an important step. Various nucleation and seeding methods have been demonstrated [39], including electrostatic seeding [40–42], bias enhanced nucleation [43–45], chemical nucleation [46–49], surface roughening [50–52], interlayer for nucleation enhancement [53–56] and mixed technique. A large-size single-crystal diamond of 92 mm in diameter that was synthesized on Ir/YSZ/Si(001) by heteroepitaxy has been reported, which showed a dislocation density of  $\sim 4 \times 10^7$   $\text{cm}^{-2}$  [34]. The growth of diamonds of over 2-inch was also investigated on Ir/sapphire [57]. In addition to single crystals, a polycrystalline diamond can also be grown by CVD. The properties of polycrystalline diamond are influenced by the grain structure and impurity content [58, 59].

In terms of doping, boron is usually used in diamond as the p-type dopant (activation energy: 0.37 eV), whereas phosphorus is used as the n-type dopant (activation energy: 0.59 eV) [27, 28]. Besides, heavily boron-doped diamond is an example of Mott's metal. In a boron-doped superconducting diamond, the electron spin resonance of conduction electrons has been observed [60]. Surface transfer doping is another approach for doping by forming a quasi-2D hole channel a few nanometers below the surface [28, 61, 62]. Raman spectroscopy is used as a non-destructive tool to characterize carbon materials and estimate the  $\text{sp}^2$ -to- $\text{sp}^3$  bonding ratio [63–66]. Typical Raman spectra of carbon materials including diamond, graphite and microcrystalline graphite are shown in Fig. 4a–c for comparison [67]. Figure 4d–f shows the first-order Raman spectra of diamond thin films. The first-order Raman modes are triply degenerate TO(X) phonons of F<sub>2g</sub> symmetry [64]. Figure 4e and g shows the single-crystal diamond-dominated spectra with the first-order Raman line at  $1332$   $\text{cm}^{-1}$  [64, 67]. The second-order and third-order peaks are also shown in Fig. 4g. The

first-order peak for cubic diamond would show a redshift if  $^{13}\text{C}$  is substituted for  $^{12}\text{C}$  [64]. The first-order peak for hexagonal diamonds is  $1325$   $\text{cm}^{-1}$  [64, 68].

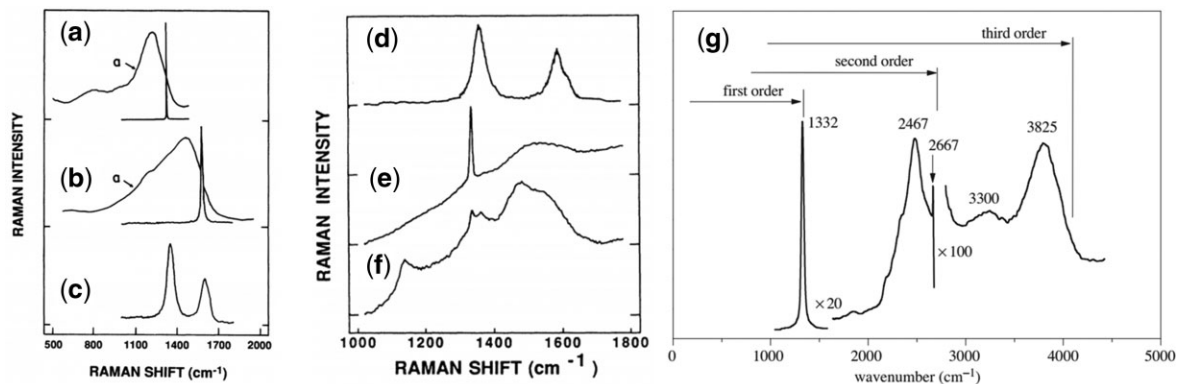
## Diamond devices and applications

### Electronics

Diamond, like other WBG and UWBG materials, was once considered an insulator. However, the key property to define the semiconductor is not the value of the bandgap but is that the carriers (holes and electrons), thereby the resistivity, in the material or part of the material can be tuned, by approaches such as doping, polarization or surface transfer doping. Diamond shows great potential for next-generation power electronic devices due to its UWBG, ultrahigh critical electrical field and largest thermal conductivity among the UWBG semiconductor family. Figure 5a–e shows recent reported diodes based on diamond including unipolar and pseudo-vertical Schottky barrier diode (pVSBd) [69, 70], vertical SBD [71–73], Schottky pn diodes (SPNDs) [74], metal-intrinsic-p-type diodes (MiPDs) [75, 76] and bipolar diodes including p-type-intrinsic-n-type diodes (PiNDs) [77]. The highest breakdown voltage of  $>10$  kV was obtained for a diamond PiND, while the highest breakdown field of  $>7$  MV  $\text{cm}^{-1}$  was reported on a diamond pVSB diode [69, 70, 78]. Figure 5f–j shows the reported transistors based on diamond including metal–semiconductor field-effect transistors (MESFETs) [79], junction gate FETs (JFETs) [80, 81], hydrogen-terminated FETs (H-FET) [82–84], metal–oxide–semiconductor FETs (MOSFETs) [85] and bipolar junction transistor (BJT) [86]. The highest breakdown voltage of 2 kV was obtained on diamond MESFET and H-FET, while the highest breakdown field of  $>6$  MV  $\text{cm}^{-1}$  was reported on a diamond JFET [78]. Edge-termination is always the key to designing high-voltage power devices and different kinds of defects in diamonds also need to be taken into consideration [78].

### NV center

The NV center in diamond is a point defect with  $C_{3v}$  symmetry and consists of a substitutional NV pair orientated along the [111] direction [87, 88]. The NV center in diamond is very important in emerging quantum technologies [28, 88–90], including quantum metrology, quantum information processing, quantum communication and tests of entanglement in quantum mechanics, as well as nanoscale magnetometry [91–93], bio-



**Figure 4:** Raman spectra of carbon materials including (a) diamond, (b) graphite and (c) microcrystalline graphite [67]. The lines in (a) and (b) labeled a are the spectra of  $\alpha$ -Si scaled to the diamond frequency. Reprinted with permission from Ref. [67]. Copyright 1990, American Physical Society. (d) A diamond-like film that is similar to microcrystalline graphite [67]. Reprinted with permission from Ref. [67]. Copyright 1990, American Physical Society. (e) and (f) The sharp feature at  $1322$   $\text{cm}^{-1}$  indicates crystalline diamond, while features between  $1350$  and  $1600$   $\text{cm}^{-1}$  are due to  $\text{sp}^2$ -bonded carbon [67]. Reprinted with permission from Ref. [67]. Copyright 1990, American Physical Society. (g) Raman spectrum of the gem-quality diamond at room temperature [64]. Reprinted with permission from Ref. [64]. Copyright 2004, The Royal Society (UK).

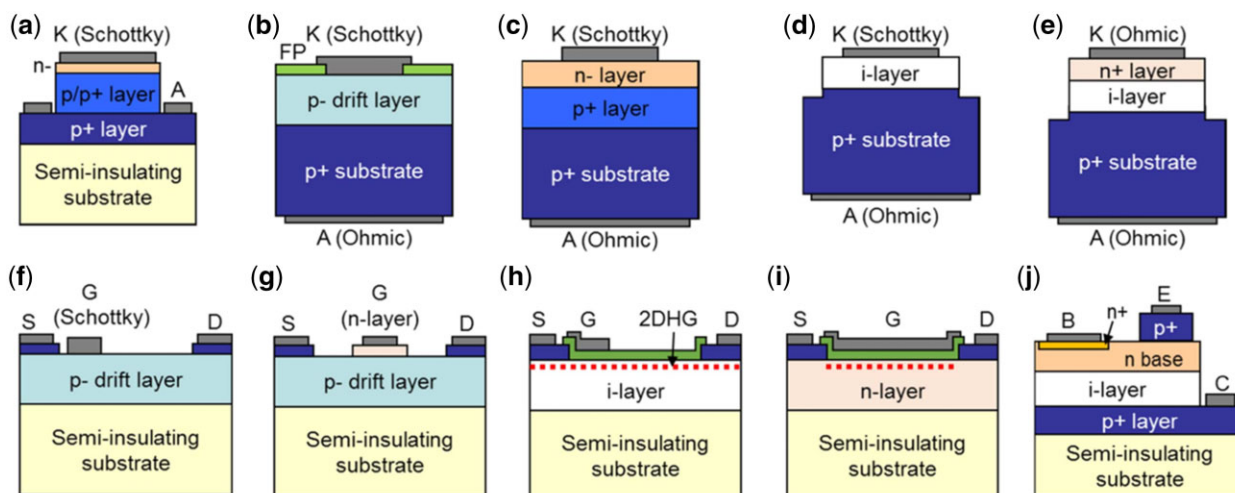


Figure 5: Schematics of diamond diodes and transistors [78]. (a) pVSD. (b) VSBD. (c) SPND. (d) MiPD. (e) PiN. (f) MESFET. (g) JFET. (h) H-FET. (i) MOSFET. (j) BJT. Reprinted with permission from Ref. [78]. Copyright 2018, Elsevier.

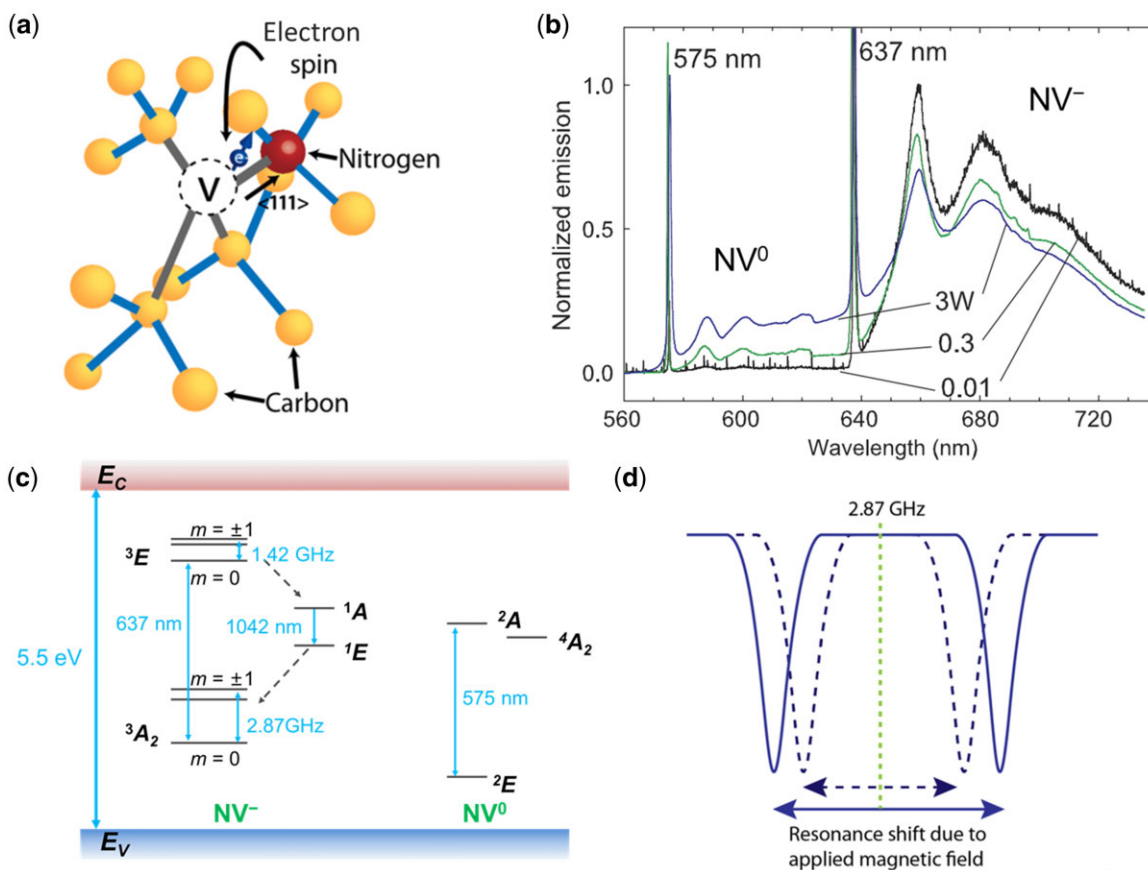


Figure 6: (a) NV center in diamond [90]. Reprinted with permission from Ref. [90]. Copyright 2021, Springer Nature. (b) Normalized emission spectra of  $NV^-$  and  $NV^0$  centers at 10 K [88]. Reprinted with permission from Ref. [88]. Copyright 2013, Elsevier. (c) Energy level diagram of the  $NV^-$  center and  $NV^0$  center [88, 100, 101]. (d) Zeeman shift due to applied magnetic field [90]. Reprinted with permission from Ref. [90]. Copyright 2021, Springer Nature.

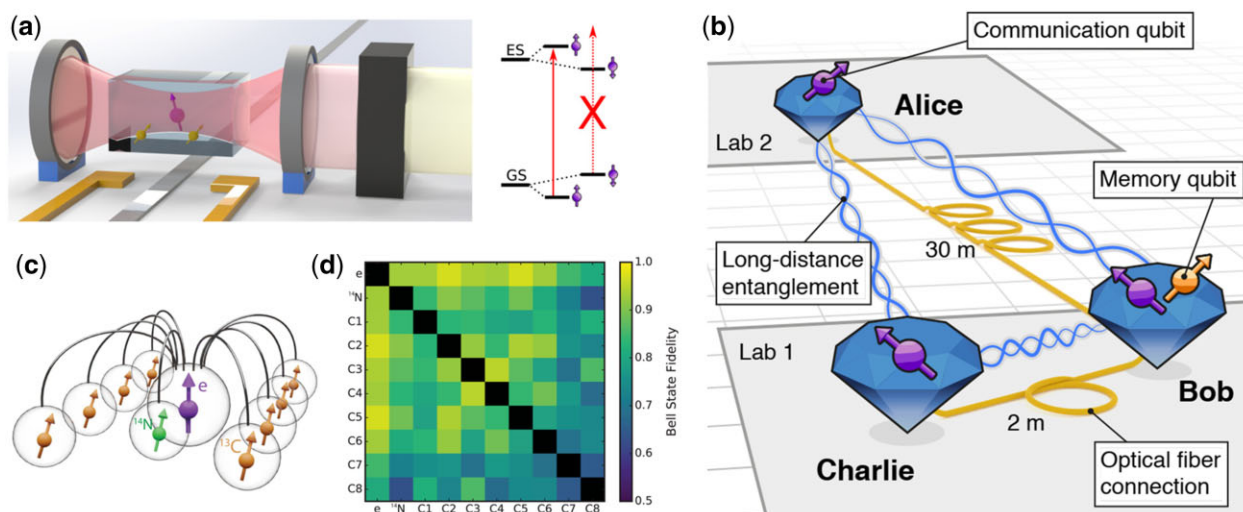
magnetometry [94], electrometry [95] and decoherence microscopy [96, 97]. The diamond crystal structure with a NV center is shown in Fig. 6a. The center exists in the negative ( $NV^-$ ) [98] and neutral ( $NV^0$ ) [99] charge states with optical zero phonon lines (ZPLs) at 637 and 575 nm, respectively [88], as shown in

Fig. 6b. The zero-field splitting (ZFS) of the  $NV^-$  center is 2.87 GHz which occurs between the  $m_s = 0$  and  $m_s = \pm 1$  spin sub-levels of the spin-triplet ground state  $^3A_2$  while it is 1.42 GHz for the spin-triplet excited state  $^3E$  [88, 91, 100, 101]. Figure 6c shows the energy level diagrams for the  $NV^-$  and  $NV^0$  centers. It is the

basis of all applications that are based on the diamond NV center. The applied magnetic field can cause a Zeeman shift (Fig. 6d) [90]. Electron-nuclear double resonance (ENDOR) study has also been reported based on the high  $NV^-$  concentrations that can be used for sensing the vector of magnetic fields [102].

#### Quantum computation and network

Building an optimal quantum computer needs to isolate a system from the environment while ensuring the greatest possible control over it [103]. According to quantum mechanical laws, both requirements are impossible to fulfill at the same time; therefore, a compromise solution is needed. Vacuum ( $<10^{-12}$  mbar) is an optimal condition to shield the disturbance and the qubits can be controlled utilizing electromagnetic waves [103]. Another solid-state solution is diamond since its large bandgap ensures an unoccupied conduction band (CB) even at room temperature. So, no free electrons can unintentionally interact with the qubits. The NV center qubit has very good coherence times even at room temperature [104–107]. The corresponding fidelity is comparable to or even higher than that of a superconducting qubit system [103]. A brief schematic of a quantum network node that utilizes color centers in diamond is shown in Fig. 7a [108]. The node consists of an optically active (communication) qubit. Using the microwave, the state of the color center qubit can be swapped by the high-fidelity gates onto the nuclear spin (memory) qubits that are long-lived. The network nodes can be tuned to an optimal frequency operation point by the electric field. Photons that are entangled with the state of the communication qubit can be down-converted to telecommunication wavelengths. Recent experiments have shown the great potential of color centers in diamonds that can be used as quantum network node candidates. Figure 7b shows the layout of a three-node quantum network employing NV centers in diamond. A state-of-the-art study reported a 10-qubit solid-state spin register realized by using the decoherence-protected gates, containing 9 nuclear spins in diamond and the electron spin of an NV center [109] (Fig. 7c). The measured Bell state fidelities for all pairs of qubits in the 10-qubit register are shown in Fig. 7d.



**Figure 7:** (a) Schematic of a quantum network node utilizing the qubit (purple) based on the color center in diamond [108]. Dedicated lines (gray) can generate microwave to change to qubit state while the electrodes (yellow) can produce a static electric field to tune the network nodes. Reprinted with permission from Ref. [108]. Copyright 2021, AIP publishing. (b) Layout of a three-node quantum network employing NV centers in diamond [110]. Reprinted with permission from Ref. [110]. Copyright 2021, The American Association for the Advancement of Science. (c) Schematic of a 10-qubit quantum register [109], including a central qubit, an intrinsic  $^{14}\text{N}$  nuclear spin and 8  $^{13}\text{C}$  nuclear spins. (d) Measured Bell state fidelities showing entanglement generation in the 10-qubit register shown in (c).

## PART II $\beta\text{-Ga}_2\text{O}_3$

### $\beta\text{-Ga}_2\text{O}_3$ materials and synthesis

$\text{Ga}_2\text{O}_3$  has six phases, including  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ ,  $\epsilon$  and  $\kappa$  phases [117–120] with hexagonal, monoclinic, cubic, cubic, hexagonal and orthorhombic crystal structures, respectively [112]. As shown in Fig. 8a, these phases can be transformed into the most stable beta phase by different thermal processes [111–113], which offer an avenue to grow  $\beta\text{-Ga}_2\text{O}_3$  by post-thermal annealing process [121].  $\beta\text{-Ga}_2\text{O}_3$  has a monoclinic crystal structure and has lattice constants of  $a = 12.2 \text{ \AA}$ ,  $b = 3.04 \text{ \AA}$ , and  $c = 5.80 \text{ \AA}$ , and the angle between  $a$ - and  $c$ -axes is  $104^\circ$  (Fig. 8b) [114, 115].  $\beta\text{-Ga}_2\text{O}_3$  has a highly asymmetric monoclinic crystal structure, which results in anisotropic material properties. Different thermal, optical and electrical properties along different crystal orientations have been observed [122, 123]. Guo *et al.* [124] found that [010] direction has a thermal conductivity three times larger than [100] direction. Fu *et al.* [125] also observed anisotropic electrical properties in  $\beta\text{-Ga}_2\text{O}_3$  SBDs, including Schottky barrier heights, turn-on voltages, on-resistance and electron mobilities. Li *et al.* [126] also showed that the sidewall orientation of  $\beta\text{-Ga}_2\text{O}_3$  trench SBDs directly impacted the forward device characteristics due to different interface charge densities. Zhang *et al.* [127, 128] observed anisotropic wet etching of  $\beta\text{-Ga}_2\text{O}_3$  with hot phosphoric acid, which is highly related to the atomic density, chemical reactivity and bonding of different planes. Mu *et al.* [129, 130] and Bhuiyan *et al.* [131] theoretically and experimentally demonstrated the orientation-dependent band offsets in  $\beta\text{-(Al}_x\text{Ga}_{1-x})_2\text{O}_3$  and  $\beta\text{-Ga}_2\text{O}_3$  heterostructures. Furthermore, Montes *et al.* [132] reported different deep-level defects induced after irradiation on different crystal orientations, i.e. anisotropic radiation effects in  $\beta\text{-Ga}_2\text{O}_3$ . Chen *et al.* [133] also showed different nonlinear optical properties for (010) and  $(-201)$   $\beta\text{-Ga}_2\text{O}_3$ , including Kerr nonlinear refractive index, two-photon absorption (TPA) coefficient and polarization dependence. Furthermore, due to the large lattice constants,  $\beta\text{-Ga}_2\text{O}_3$  has two cleavage planes, i.e. (100) and (001) planes, which allow for mechanical exfoliation of  $\beta\text{-Ga}_2\text{O}_3$  into thin-belts or nano-membranes [119, 120] for novel device applications.  $\beta\text{-Ga}_2\text{O}_3$  has an UWBG of  $\sim 4.8 \text{ eV}$  (Fig. 8c) and a high

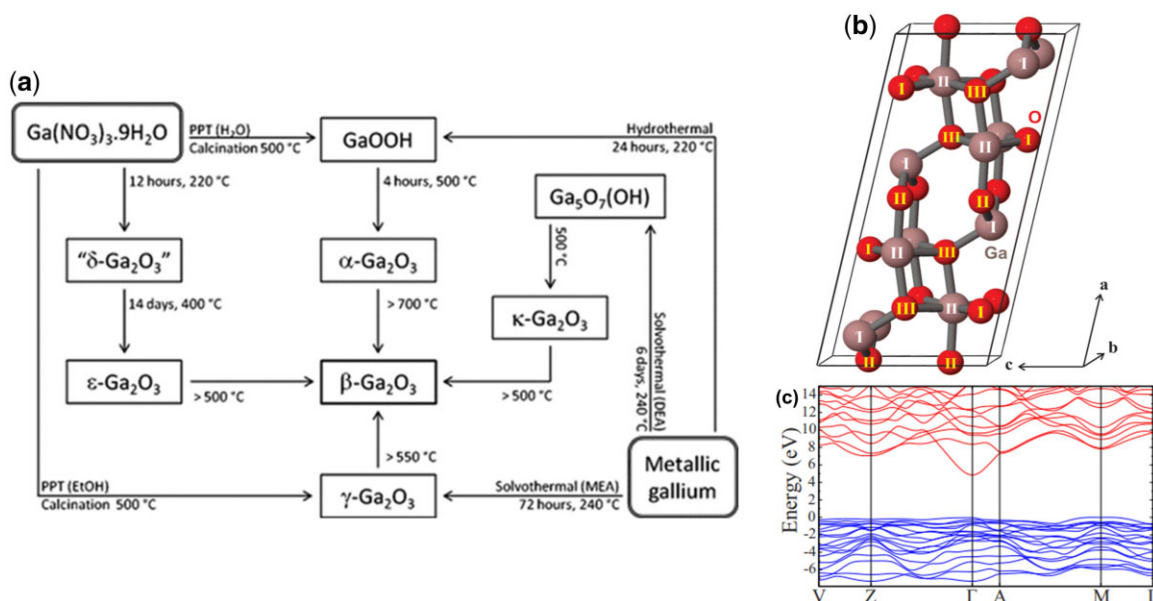


Figure 8: (a) Transformation relationships between different phases of Ga<sub>2</sub>O<sub>3</sub> [111–113]. Reprinted with permission from Ref. [111]. Copyright 2018, AIP Publishing. (b) Crystal structure of monoclinic β-Ga<sub>2</sub>O<sub>3</sub> [114, 115]. Reprinted with permission from Ref. [114]. Copyright 2020, AIP Publishing. (c) Simplified band structure of β-Ga<sub>2</sub>O<sub>3</sub> [114, 116]. Reprinted with permission from Ref. [116]. Copyright 2010, AIP Publishing.

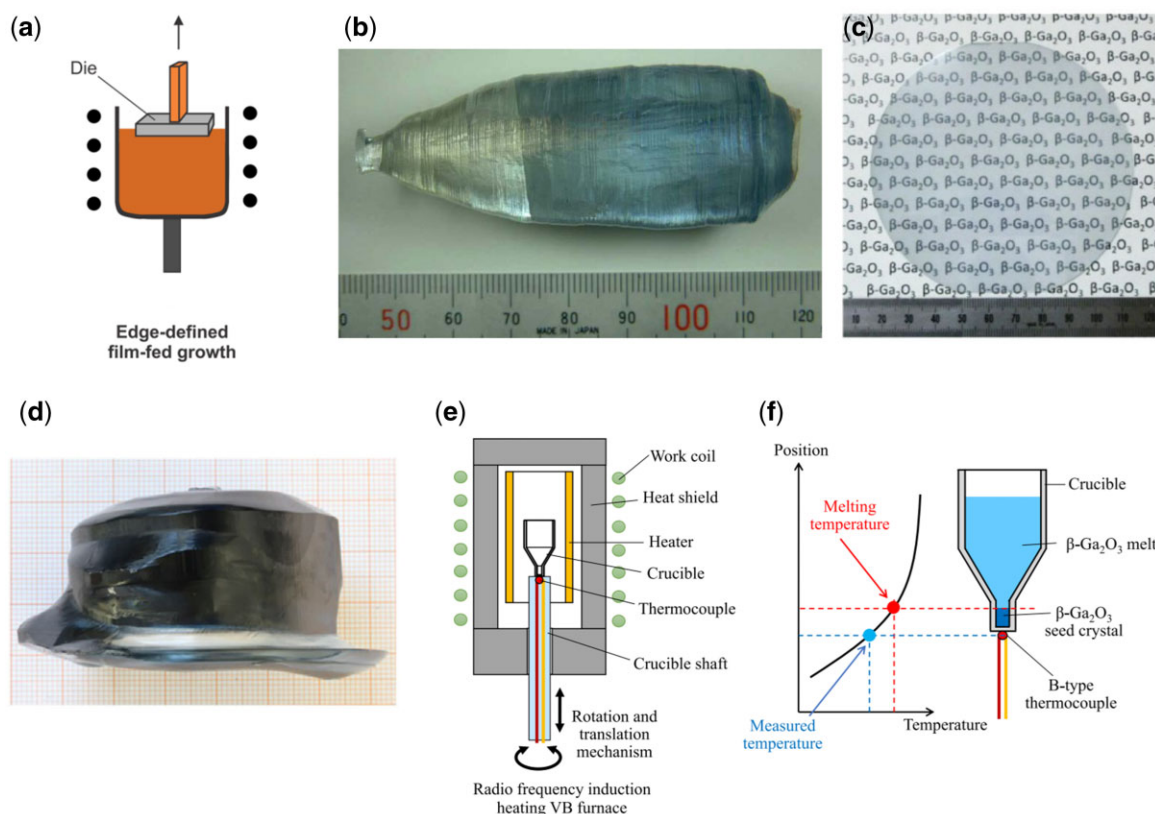
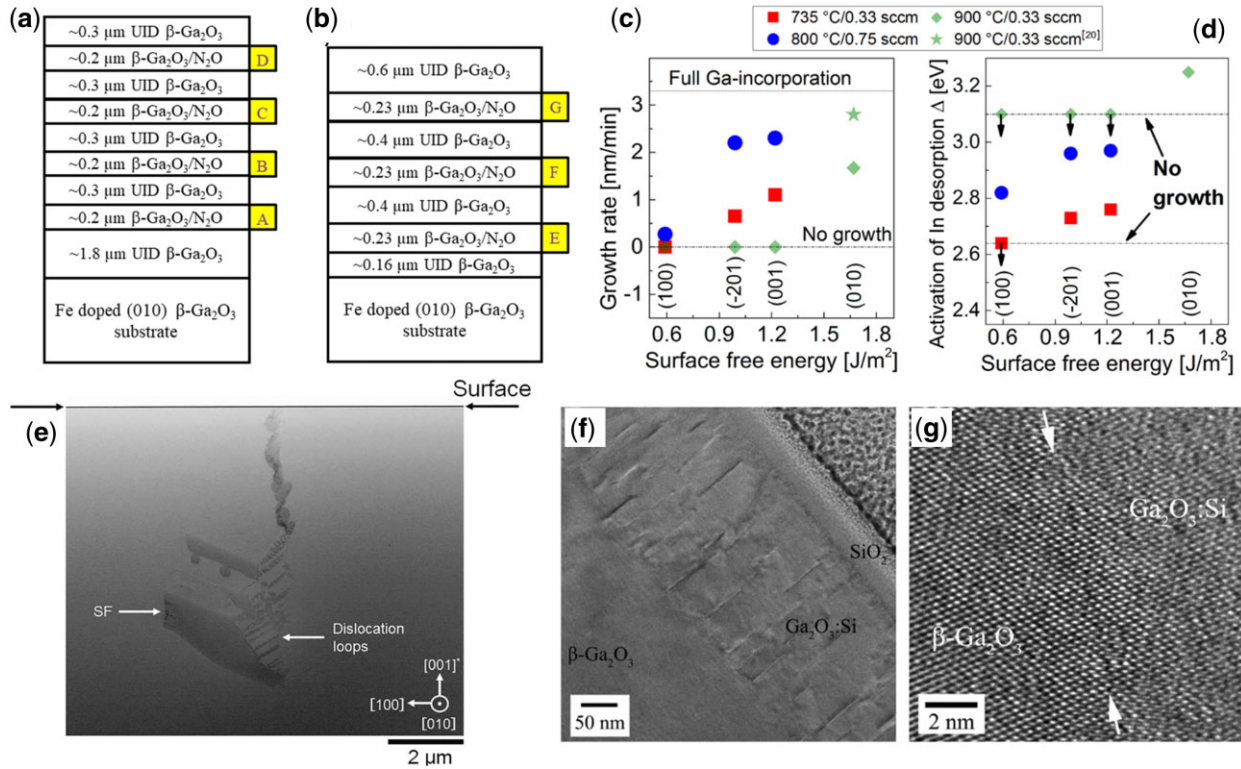


Figure 9: (a) Schematic of EFG method for growing β-Ga<sub>2</sub>O<sub>3</sub> bulk crystal [135]. Reprinted with permission from Ref. [135]. Copyright 2020, AIP Publishing. (b) Images of EFG-grown β-Ga<sub>2</sub>O<sub>3</sub> bulk crystal. The sample was cut perpendicular to the [010] direction and shaped into a flat hexagonal prism [138]. Reprinted with permission from Ref. [138]. Copyright 2004, Elsevier. (c) Photograph of 4-inch β-Ga<sub>2</sub>O<sub>3</sub> substrates [136]. Reprinted with permission from Ref. [136]. Copyright 2020, AIP Publishing. (d) Photograph of high conductive 2-inch β-Ga<sub>2</sub>O<sub>3</sub> bulk [139]. Reprinted with permission from Ref. [139]. Copyright 2022, AIP Publishing. (e) Schematic of vertical Bridgman method with inductive heating [137]. Reprinted with permission from Ref. [137]. Copyright 2016, Elsevier. (f) Temperature distribution versus position in the vertical Bridgman furnace [137]. Reprinted with permission from Ref. [137]. Copyright 2016, Elsevier.



**Figure 10:** (a) and (b) Images of various MOCVD grown  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> stack structures using N<sub>2</sub>O separated by oxygen grown UID  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> films for SIMS analysis [147]. From Ref. [147], licensed under a Creative Commons Attribution (CC BY) license. (c) Growth rates for (100), (-201), (001) and (010) homoepitaxy by In-mediated (MEXCAT-MBE) under three different synthesis conditions [155]. From Ref. [155], licensed under a Creative Commons Attribution (CC BY) license. (d) Activation energy of In desorption evaluated from the catalytic growth model, as a function of the theoretically predicted surface energy of the respective orientations [155]. From Ref. [155], licensed under a Creative Commons Attribution (CC BY) license. (e) Cross-sectional STEM image of a bright spot for HVPE grown  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> sample [161]. Reprinted with permission from Ref. [161]. Copyright 2021, AIP Publishing. (f) and (g) Cross-sectional TEM images of Ga<sub>2</sub>O<sub>3</sub>:Si film deposited on (010)  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> substrate [166]. The interface is indicated by arrows. Reprinted with permission from Ref. [166]. Copyright 2017, AIP Publishing.

critical electric field of  $\sim 8$  MV [114, 116], which are promising for deep UV and power electronic applications.

Bulk  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> crystals can be grown by edge-defined film-fed growth (EFG), Czochralski (CZ) [134], float zone (FZ), vertical gradient freeze and vertical Bridgman methods [135–138]. Matthew [135] has extensively summarized the growth techniques of bulk  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> and the schematic of EFG is shown in Fig. 9a. The  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> melt goes up to the top of the chamber through the slit by capillary action. 1-inch [138] (Fig. 9b) and 4-inch  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> wafers have been commercialized [136] (Fig. 9c) and high conductive  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> bulk have been demonstrated [139]. Oishi et al. [140] reported one of the highest electron mobility of 153 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> at 300 K in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> grown by the EFG method. For the carrier scattering, the ionized impurity scattering is dominant at low temperatures and the optical phonon scattering is dominant at high temperatures. There are three main defects in EFG-grown  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> [141–144], including dislocations (e.g. edge and screw dislocations), voids (e.g. nanopipes and grooves) and twins [136, 145], as shown in Fig. 9d. In addition, the defects in bulk  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> can be partly recovered via electron beam irradiation [141–144, 146]. Hoshikawa et al. [137] grew twin-free  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> crystals with (100), (010) and (001) orientations using the vertical Bridgman method with inductive heating (Fig. 9e and f). They also demonstrated the 2-inch  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> bulk crystal growth via resistive heating vertical Bridgman furnace in ambient air, enabling the growth of large  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> crystals with small weight loss of the crucible [137].

Several methods have been developed to epitaxially grow  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> films, including MOCVD, MBE, hydride vapor phase

epitaxy (HVPE) and PLD. MOCVD has advantages for mass production and it is suitable to grow high-quality  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> films with an unintentional doping concentration from  $1 \times 10^{14}$  cm<sup>-3</sup> to  $3 \times 10^{16}$  cm<sup>-3</sup> [147, 148]. Alema et al. [148] reported that introducing a small amount of H<sub>2</sub>O vapor into O<sub>2</sub> during MOCVD growth further reduced the background carrier concentration due to the generation of H<sub>2</sub> and compensation of defects. And they also demonstrated low free-carrier concentration in MOCVD-grown  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> by using N<sub>2</sub>O for oxidation (Fig. 10a and b) [147]. To date, high room-temperature electron mobilities in the range of 150–200 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> and low-temperature electron mobilities of 12,400 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> at 46K and 23,400 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> at 23 K have been achieved [149–151] for MOCVD-grown  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> [152, 153]. Alema et al. [154] also reported a fast growth rate of  $\sim 10$   $\mu$ m/hr of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> thin films using a close-coupled showerhead MOCVD reactor and separate injection of O<sub>2</sub> and MO precursors. The MBE is also widely used for growing ultra-high quality epilayers with sharp interfaces but usually has low growth rates. Mazzolini et al. [155] investigated the growth rate of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> under different crystal orientations in the indium-mediated metal-exchange catalyzed molecular beam epitaxy (MEXCAT-MBE) method, which is an emerging technology for increasing the growth rate [156]. As shown in Fig. 10c and d, the growth rates increased with the surface free energy of different orientations, i.e. (100) < (-201) < (001) < (010) [155]. In addition, increasing growth temperature can also increase the growth rate [155, 156]. HVPE has the advantages of high growth rates, low cost and decent film quality. Therefore, it is commonly used for producing thick epilayers for high voltage



vertical  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> devices [157–159]. Higashiwaki et al. [160] reported HVPE-grown (001)  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> Schottky barrier diodes (SBDs) with low on-state resistance ( $R_{ON}$ ) of 2.4 m $\Omega$ -cm<sup>2</sup> and a high breakdown voltage of >500 V. But the breakdown voltage was still far below the theoretical limit mainly due to killer defects (Fig. 10e) generated during the fast growth of the film [161, 162]. PLD method is a common deposition technique for oxide materials and has the capability of control over material stoichiometry and film thickness, surface morphology and quality [163]. Shen et al. [164] comprehensively investigated  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> film properties with different laser energy and the number of laser shots by the PLD technique. Khartsev et al. [165] also demonstrated Si-doped  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> films with a high free carrier concentration of  $2.5 \times 10^{19}$  cm<sup>-3</sup> using SiO<sub>2</sub>-containing Ga<sub>2</sub>O<sub>3</sub> target via PLD process (Fig. 10f and g). PLD growth of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> films also has the advantages of low-temperature growth, which can be useful for low thermal budget devices and the regrowth process for the ohmic contacts in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> devices.

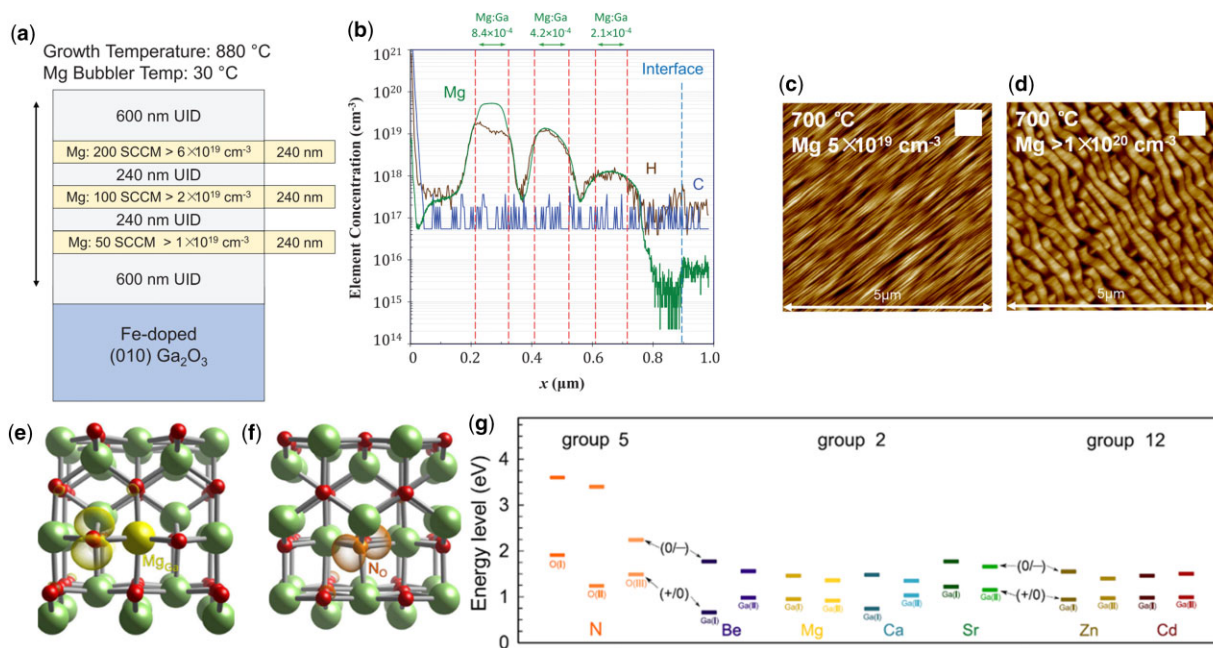
### Current status of $\beta$ -Ga<sub>2</sub>O<sub>3</sub> doping

n-Type doping in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> can be obtained using various donors such as Si, Sn, Zr, Hf and Nb [167–170] with ionization energy in the range of 7–30 meV. Among them, Si and Sn are the most widely used shallow donors with free-electron concentrations up to  $\sim 1 \times 10^{19}$  cm<sup>-3</sup> and low resistivity down to 5 m $\Omega$  cm. With increasing n-type doping concentration from  $1 \times 10^{17}$  to  $1 \times 10^{19}$  cm<sup>-3</sup>, the electron mobility in the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> decreased from 130 to 25 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> at room temperature due to electron scattering by polar optical phonons. For bulk  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, the doping concentration of Si and Sn was usually limited up to  $2 \times 10^{19}$  cm<sup>-3</sup> due to crystal quality degradation and the high evaporation rate of Sn [138, 171]. For epitaxially grown  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> using MOCVD and MBE, a high concentration of n-type doping of over  $10^{20}$  cm<sup>-3</sup> can be achieved. In addition, precise control doping

such as 3 nm delta-doping width has also been demonstrated in MOCVD [172]. For p-type doping, it is very challenging for  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> due to large ionization energy, large hole effective mass caused by flat valence band and self-trapping of holes [173]. Nevertheless, several candidates have been explored for  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>. It was found that Mg, Fe, Zn, Co and Ti are deep acceptors in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> that can effectively increase the resistivity of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> films [159, 173–178]. Feng et al. [177] investigated the electrical insulating property of the Mg-doped MOCVD-grown  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> films varying Mg MO flow rate and growth temperature (Fig. 11a and b). In addition, the Mg-doped buffer layer grown at the substrate–epilayer interface could effectively compensate for the charge accumulation at the interface, which is critical for lateral devices. It should be noted that a high Mg MO flow rate could also result in surface morphology deterioration due to strong surface segregation, as shown in Fig. 11c and d. Although Mg doping was realized in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, p-type doping was not observed due to the low mobility of self-trapped holes. Recent work demonstrated the possibility for p-type doping via hydrogen incorporation in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> [179]. In addition to Mg at Ga sites (Fig. 11e), N at O sites can also induce deep acceptor levels (Fig. 11f), where the former binds the hole to a next-nearest O neighbor and the latter binds the hole unto itself [180]. The transition levels of other impurities such as Be, Ga, Sr, Zn and Cd are also calculated using hybrid density function calculations and shown in Fig. 11g [180].

### $\beta$ -Ga<sub>2</sub>O<sub>3</sub> electronic and photonic devices

UWBG semiconductor  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> has garnered significant research interest for power conversion, RF and deep UV applications. Due to its large bandgap, high critical breakdown field and large Baliga’s figure of merit (BFOM),  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> is a promising candidate for high-voltage power electronics. Various  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>-based power devices have been demonstrated, including SBDs



**Figure 11:** (a) Schematic of the sample layer stack and (b) SIMS profile of Mg-doped  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> by MOCVD [177]. Reprinted with permission from Ref. [177]. Copyright 2020, AIP Publishing. (c) and (d) Surface AFM images of Mg-doped  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> with different Mg doping concentrations [177]. Reprinted with permission from Ref. [177]. Copyright 2020, AIP Publishing. (e) and (f) Mg on the Ga(II) site and N on the O(III) coordinated O site [180]. Reprinted with permission from Ref. [180]. Copyright 2018, IOP Science. (g) Transition levels for the nitrogen group 12, group 5 and group 2 elements in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> [180]. Reprinted with permission from Ref. [180]. Copyright 2018, IOP Science.

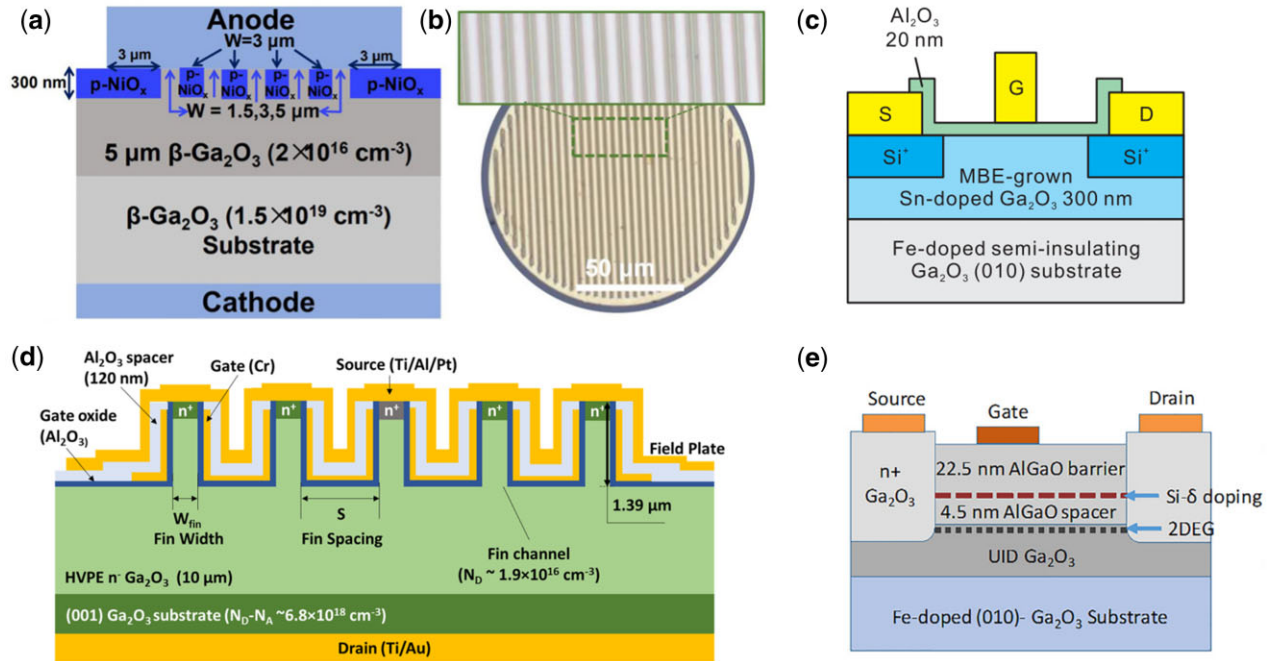
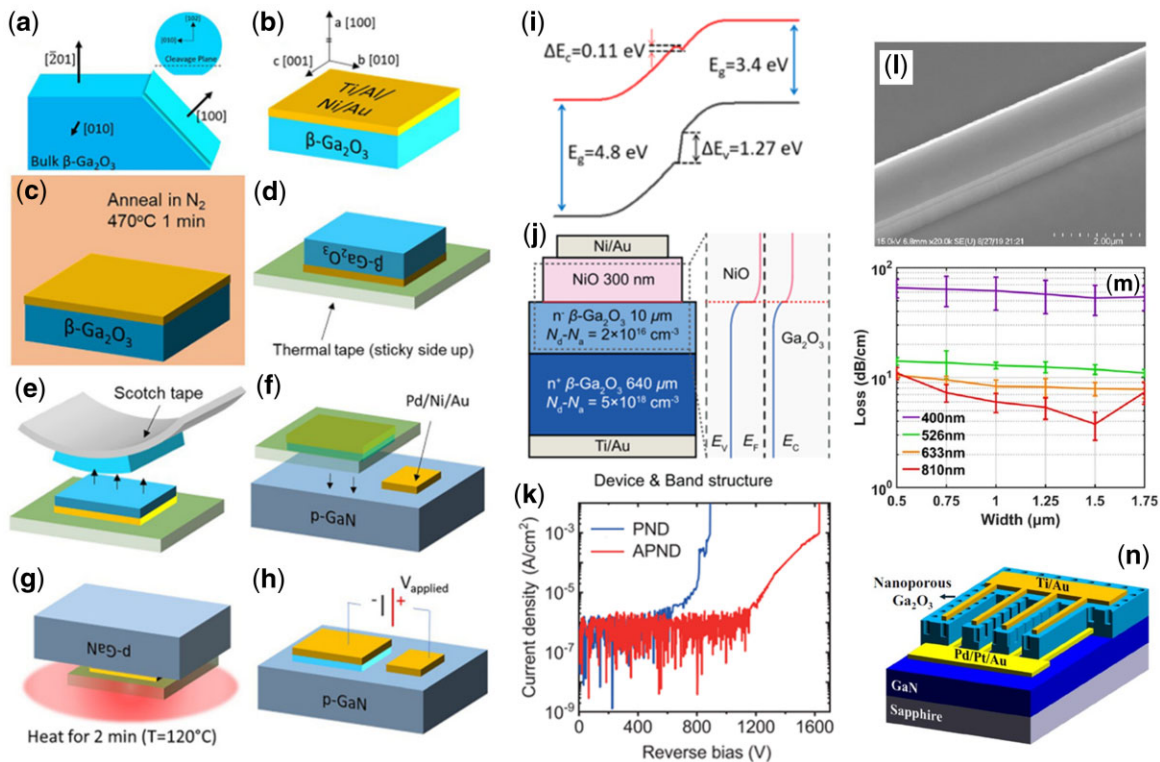


Figure 12: (a) Schematic and (b) microscopy image of lateral  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> SBD with field plate structure [120]. Reprinted with permission from Ref. [120]. Copyright 2021, AIP Publishing. (c) depletion-mode  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> MOSFET [188]. Reprinted with permission from Ref. [188]. Copyright 2012, AIP Publishing. (d)  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> vertical FinFET [191]. Reprinted with permission from Ref. [191]. Copyright 2021, AIP Publishing. (e) Delta-doped  $\beta$ -(Al<sub>x</sub>Ga<sub>1-x</sub>)<sub>2</sub>O<sub>3</sub>/ $\beta$ -Ga<sub>2</sub>O<sub>3</sub> HEMTs [189]. Reprinted with permission from Ref. [189]. Copyright 2018, AIP Publishing.

[120, 181–184], MOSFETs [185–187], high electron mobility transistors (HEMTs) [188, 189], current-aperture vertical electron transistors (CAVETs) [157, 158, 190] and Fin-FET [158, 186, 191]. Due to low turn-on voltage, fast switching speed, and high efficiency,  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> SBDs are important components of power electronics such as high-power inverters and converters for power supplies and power factor corrections. Yan *et al.* [120] showed field-plated lateral  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> SBDs (Fig. 12a and b) with a breakdown voltage of  $>3\ \text{kV}$  and DC power FOM of  $370\ \text{MW cm}^{-2}$ , which is compared with previous reports [192–198]. Higashiwaki *et al.* [187] first demonstrated the D-mode  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> MOSFET (Fig. 12c) and the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> vertical transistor called CAVET [157]. However, the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> CAVET had a relatively low breakdown voltage of  $<300\ \text{V}$ , possibly due to the deficiency of current blocking capability in nitrogen implanted regions. Chatterjee *et al.* [191] fabricated E-mode vertical  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> Fin-FETs (Fig. 12d) with a breakdown voltage of 2.66 kV, a specific on-resistance of  $25.2\ \text{m}\Omega\ \text{cm}^2$  and a BFOM of  $280\ \text{MW cm}^{-2}$ . Zhang *et al.* [189] demonstrated delta-doped  $\beta$ -(Al<sub>x</sub>Ga<sub>1-x</sub>)<sub>2</sub>O<sub>3</sub>/ $\beta$ -Ga<sub>2</sub>O<sub>3</sub> HEMTs (Fig. 12e) to improve the relatively low bulk mobility of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> by forming two-dimensional electron gas (2DEG) [151, 199–202]. The confined sheet carrier density at the 2DEG channel was as high as  $3 \times 10^{12}\ \text{cm}^{-2}$  [203] with a record room-temperature electron mobility of  $184\ \text{cm}^2\ \text{V}^{-1}\ \text{s}^{-1}$  [151]. The design space of delta-doped  $\beta$ -(Al<sub>x</sub>Ga<sub>1-x</sub>)<sub>2</sub>O<sub>3</sub>/ $\beta$ -Ga<sub>2</sub>O<sub>3</sub> HEMT has been comprehensively investigated by Wang *et al.* [204]. Furthermore, the low thermal conductivity of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> is also a limiting factor for high power devices. Thermal management approaches such as superlattice structures, high thermal conductivity substrates and double-side packaging have been developed [205, 206] to improve thermal dissipation. In addition to power electronics,  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> has also been investigated for RF electronics [199, 207, 208] and the recent progress is summarized in Moser's work [207]. An extrinsic cutoff frequency of 27

GHz was achieved for the small-signal operation of the transistors [209] and Green *et al.* [200] demonstrated the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> MOSFET with an extrinsic cutoff frequency of 3.3 GHz and output power of  $0.23\ \text{W mm}^{-1}$ .

Due to the lack of p-type  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, most of the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> electronic devices are unipolar devices. In order to avoid this issue, other p-type materials were used as a heterojunction with  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, such as p-Si, NiO, Cu<sub>2</sub>O and GaN [210–213]. Montes *et al.* [212] demonstrated GaN/ $\beta$ -Ga<sub>2</sub>O<sub>3</sub> p-n heterojunctions via mechanical exfoliation (Fig. 13a–i). Hao *et al.* [213] demonstrated the low defect density NiO/ $\beta$ -Ga<sub>2</sub>O<sub>3</sub> p-n diode (Fig. 13j) with a high FOM of  $0.65\ \text{GW cm}^{-2}$  and a good ideality factor of 1.27, and enhanced breakdown voltage via thermal annealing (Fig. 13k). Furthermore,  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> is also being explored for photonic devices in applications such as all-optical switch supercontinuum, frequency comb and material characterization [214]. Basic non-linear optical properties of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> have been characterized including optical photoluminescence, Kerr effect, TPA and Raman scattering [215]. Due to its broadband transparency and small TPA coefficient,  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> is promising for high-performance, low-loss waveguides for integrated photonics [216–222]. Zhou *et al.* [215] demonstrated a low-loss  $3.7\ \text{dB cm}^{-1}$   $\beta$ -Ga<sub>2</sub>O<sub>3</sub> waveguide at 810 nm (Fig. 13l–m), which is comparable to the state of the art. They also comprehensively studied various loss mechanisms, including TPA and scattering from the sidewall, top surface and bulk, and revealed that waveguide geometry and operation wavelength could impact loss and dominant loss mechanisms. In addition,  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> has a small lattice mismatch with the III-N material system; therefore,  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> photonic devices can be integrated with III-N lasers and detectors. In addition, Ga<sub>2</sub>O<sub>3</sub> is also suitable for deep UV photodetection [223–229] with self-power capability in heterojunction-based devices (Fig. 13n) [230].



**Figure 13:** (a) The bulk (201) wafers of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> can be cleaved and the (100) plane is exposed. The fabrication methods of GaN/ $\beta$ -Ga<sub>2</sub>O<sub>3</sub> p-n diodes [212]; (b) metal deposition of the n-contact [212]; (c) annealing [212]; (d) thermal tape placement [212]; (e) mechanical exfoliation [212]; (f)  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> placed on p-type GaN [212]; (g) treatment on a hot plate [212]; (h) the finished device [212]. Reprinted with permission from Ref. [212]. Copyright 2019, AIP Publishing. (i) Simulated band diagram of GaN/ $\beta$ -Ga<sub>2</sub>O<sub>3</sub> p-n junction [212]. Reprinted with permission from Ref. [212]. Copyright 2019, AIP Publishing. (j) Schematic structure and energy band diagram of vertical NiO/ $\beta$ -Ga<sub>2</sub>O<sub>3</sub> heterojunction p-n diode [212]. (k) Reverse breakdown characteristics of the NiO/ $\beta$ -Ga<sub>2</sub>O<sub>3</sub> devices [212]. Reprinted with permission from Ref. [212]. Copyright 2021, AIP Publishing. (l) SEM images of waveguide sidewall with optimized dry etching [212]. (m) Measured propagation loss of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> waveguides with different widths and different wavelengths [212]. Reprinted with permission from Ref. [212]. Copyright 2021, SpringerLink. (n) Schematic structure of the Ga<sub>2</sub>O<sub>3</sub>/GaN heterojunction photodetectors [226]. From Ref. [226], licensed under a Creative Commons Attribution (CC BY) license.

## PART III h-BN

### h-BN material properties

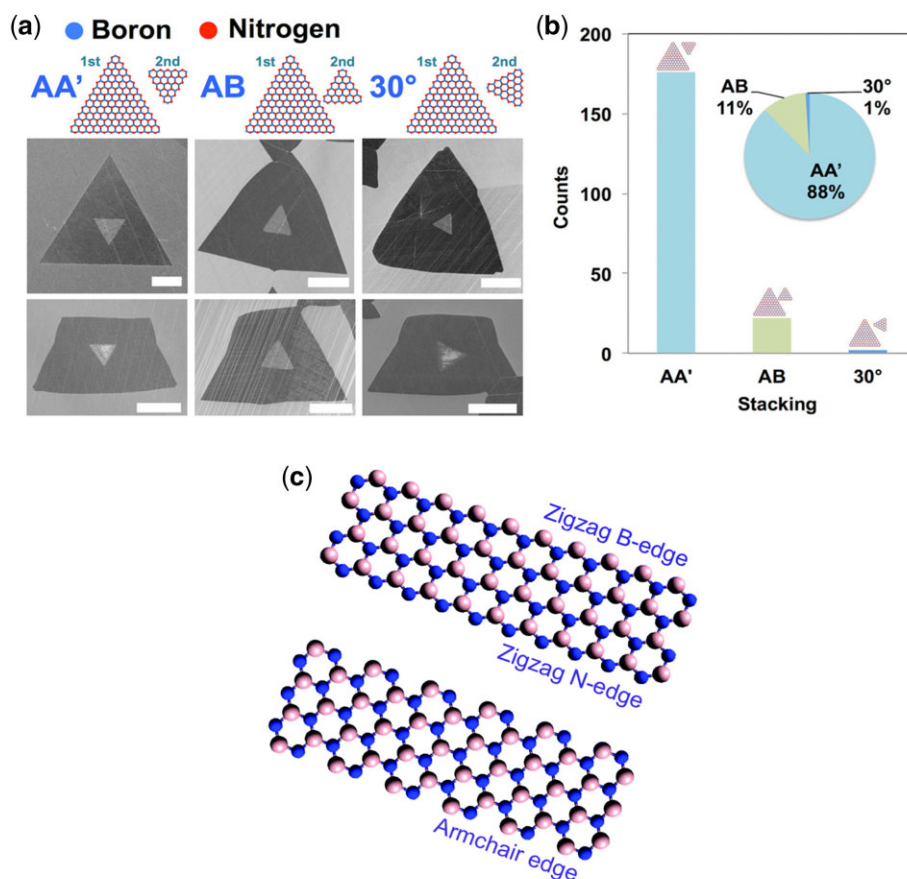
#### Crystal structure

h-BN has a honeycomb structure, consisting of B and N atoms. The B and N atoms within the same hexagonal basal plane are bonded by  $sp^2$  hybridized covalent bonds, while van der Waals forces connect adjacent layers, making h-BN a highly anisotropic material. The B-N bonds are also partial ionic because of the different electronegativity of B and N [231], resulting in the electrical insulation, high chemical stability and excellent mechanical properties of h-BN. The lattice constants of h-BN are  $a=b=2.5$  Å,  $c=6.6$  Å, whereas the B-N bond length is 1.45 Å and the spacing between two layers is 3.3 Å [232, 233]. Three different stacking modes of h-BN layers have been overserved with twist angles of  $\theta=0^\circ$ ,  $\theta=60^\circ$  and  $\theta=30^\circ$ , as shown in Fig. 14a and b [234]. The typical stacking of h-BN layers is AA' with a twist angle of  $60^\circ$ , in which each B atom and N atom are exactly on top of the N atom and B atom in the bottom layer, respectively. The AB stacking is also observed in some h-BN samples with a twist angle of  $0^\circ$ . The stacking with a  $30^\circ$  twist angle, however, is rarely observed. The different stacking patterns can alter the electronic band structures of h-BN due to the different interlayer interactions [235]. Based on theoretical calculations, adjacent BN layers may have a nearly free slide path from AA' stacking to AB stacking with a bandgap shrinking of 0.6 eV [236].

The orientation of the atoms in a layer can be divided into a zigzag configuration and an armchair configuration as shown in Fig. 14c [237]. Based on first-principle calculations, Du et al. [238] reported that the edge configurations might also have an influence on the bandgap of h-BN. The bandgap of h-BN can transform from indirect to direct bandgap with a transition from zigzag edge to armchair edge. The morphology and structure of h-BN can be characterized by a series of techniques, including optical microscope, X-ray photoelectron microscopy, Raman spectroscopy, electron energy loss spectroscopy, transmission electron microscopy (TEM), atomic force microscopy (AFM) and scanning electron microscopy (SEM) [239–242].

#### Electronic properties

H-BN is a UWBG material that can be used as an insulator owing to the partial ionic B-N bonds. The bandgap of h-BN has been both predicted by theoretical calculations and characterized by experiments. Early theoretical calculations based on the tight-binding method suggested h-BN was a direct bandgap material [243] while the density functional theory (DFT) method indicated an indirect bandgap and the values of the bandgap also varied from 4.027 to 4.47 eV [244–246]. Later, a more accurate method, GW calculations, yielded an indirect bandgap of 6.0 eV [247]. Early experimental work showed that h-BN was a direct bandgap material with a strong luminescence peak at 5.76 eV [248]. In 2016, Cassabois et al. [249] demonstrated the indirect nature of the



**Figure 14:** (a) Schematics and SEM images of the different stacking configurations of h-BN [234]. Reprinted with permission from Ref. [234]. Copyright 2017, American Chemical Society. (b) Statistics of three different stacking configurations [234]. Reprinted with permission from Ref. [234]. Copyright 2017, American Chemical Society. (c) Schematics of zigzag and armchair edge configurations of h-BN [237]. Reprinted with permission from Ref. [237]. Copyright 2014, Royal Society of Chemistry.

bandgap at 5.955 eV. The discrepancies may be attributed to the different stacking methods and edge termination [250, 251]. In addition, h-BN possesses a relatively large dielectric constant [252, 253]. The dielectric constant in the in-plane direction is higher than that in the out-of-plane direction due to the polar in-plane B–N bonding. Laturia *et al.* [252] demonstrated that the in-plane dielectric constant is independent of the number of layers while the out-of-plane dielectric constant increases with the increase of the number of layers. Hattori *et al.* [254, 255] extensively studied the dielectric breakdown of h-BN. Using C-AFM, they reported that the electric field strength along the *c*-axis of h-BN was around  $12 \text{ MV cm}^{-1}$ , similar to traditional dielectric material  $\text{SiO}_2$ . However, the electric field strength of h-BN perpendicular to the *c*-axis was only around  $3 \text{ MV cm}^{-1}$  due to the anisotropic property of h-BN.

#### Optical properties

h-BN nanosheets have no optical absorption in the range of visible light, which makes them highly transparent [239, 256, 257]. As for the DUV range, a strong peak around 200 nm could be observed, corresponding to an optical bandgap around 6 eV [256, 257]. h-BN also possesses strong DUV emission by a phonon-assisted recombination process, as shown in Fig. 15a [249]. Similar to PL emission, h-BN exhibits a strong CL emission in the DUV range, which makes it an excellent candidate for UV photonics, as shown in Fig. 15b [258–260]. As shown in Fig. 15c and d, the Raman spectrum of h-BN nanosheets is around  $1366 \text{ cm}^{-1}$  and the Fourier-transform

infrared spectroscopy (FTIR) spectrum of the BN nanosheet displays a strong absorption peak at  $811 \text{ cm}^{-1}$  and a broad absorption band in-between  $1350$  and  $1520 \text{ cm}^{-1}$  [259, 261].

There are many types of defects existing in h-BN, such as boron vacancies, NVs and antisite defects. While these defects may be detrimental to some properties of h-BN, it makes h-BN a promising platform for quantum photonics. In 2015, Tran *et al.* [262] first reported SPEs from a point defect,  $\text{N}_\text{B}\text{V}_\text{N}$  in h-BN. Linearly polarized SPEs have been observed in h-BN with high brightness and quantum efficiency, which are optically stable even at room temperature. The defects in h-BN can form naturally during the growth of crystals or be engineered by electron beam irradiation, plasma processing and thermal annealing [263, 264]. Generally, SPEs in h-BN can be excited by blue and green lasers. However, UV emissions can also be obtained by using cathodoluminescence with extremely bright emissions at 4.1 eV [265].

Nonlinear optical properties of h-BN are also their important features, including second-harmonic generation (SHG) and TPA. SHG can be detected in h-BN with AB stacking due to the breaking of inversion symmetry [266]. Only h-BN flakes with an odd number of layers have a strong SHG signal, while h-BN samples with an even number of layers exhibit negligible signals due to the cancelation of signals [267]. Kumbhakar *et al.* [268] first reported TPA in h-BN nanosheets by using laser radiation with the Z-scan technique. The highest value of the TPA cross-section of h-BN nanosheets is  $\approx 52$  times larger than an efficient

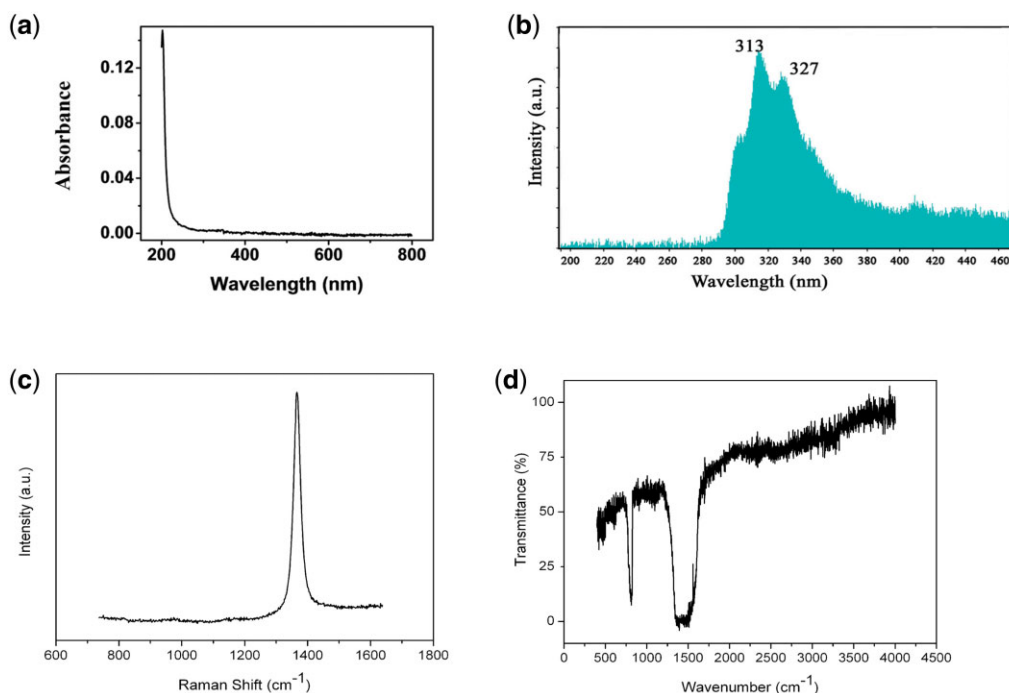


Figure 15: (a) Absorption spectrum of the h-BN thin film [257]. Reprinted with permission from Ref. [257]. Copyright 2010, American Chemical Society. (b) CL spectrum from the BN nanosheets [258]. Reprinted with permission from Ref. [258]. Copyright 2009, American Chemical Society. (c) Raman and (d) FTIR spectra of h-BN nanosheets [259]. Reprinted with permission from Ref. [259]. Copyright 2010, American Chemical Society.

TPA active material. Attacalite et al. [269] calculated the TPA process in single-layer and bulk h-BN with an *ab initio* real-time Bethe–Salpeter method and a tight-binding approach, demonstrating that TPA is capable of detecting the lowest 1s exciton in the monolayer and bulk h-BN.

#### Thermal and mechanical properties

The thermal conductivity of monolayer h-BN and bulk h-BN has been calculated by the Boltzmann transport equation [270]. Superior thermal conductivity  $k$  at room temperature of more than  $600 \text{ W m}^{-1} \text{ K}^{-1}$  has been predicted for single-layer h-BN, which is less than graphene but larger than bulk h-BN. With increasing layer numbers, bulk h-BN has a stronger scattering phonon–phonon scattering than single-layer h-BN, which can reduce the thermal conductivity. Moreover, compared with monolayer h-BN, bulk h-BN has smaller out-of-plane vibrations due to the interlayer coupling, causing decreased thermal conductivity [237, 271]. Except for its extraordinary thermal properties, the mechanical properties of h-BN have also been well investigated by theoretical calculations such as DFT as well as experimental techniques like AFM. Peng et al. [272] reported the in-plane Young’s modulus of  $279.2 \text{ N m}^{-1}$  for single-layer h-BN using DFT calculations. The tensile rigidity from 0.184 to 0.328 Tpa nm and the shear rigidity from 0.171 to 0.184 Tpa nm for h-BN nanosheets were predicted by an analytical molecular mechanics approach [273]. The modulus of h-BN is affected by both layer numbers and defects. Song et al. [239] measured the mechanical properties of h-BN by nanoindentation and theoretical simulation, demonstrating that the stiffness and the breaking strength of h-BN can be reduced by vacancy defects. Both DFT calculations and AFM measurements have shown that the modulus of h-BN increases with decreasing sheet thickness [274, 275].

#### h-BN synthesis

##### Exfoliation

Mechanical cleavage or mechanical exfoliation using the pulling force to separate layered materials bonded by vdW force was first employed to obtain graphene monolayers by the ‘Scotch tape method’ in 2004 [276]. It could also be applied to other two-dimensional thin films like BN,  $\text{MoS}_2$  and  $\text{NbSe}_2$  [277]. Novoselov et al. [277] demonstrated the first successful realization of h-BN layers by mechanical exfoliation, where h-BN layers were peeled off with tape and then transferred to a substrate. h-BN layers obtained using this method have high crystallinity, paving the way to understanding the fundamental physical properties and mechanisms in h-BN [278–280]. However, the h-BN flake size was less than several micrometers because the source material was BN powders of a few micrometers. Another drawback of this method was that it was hard to get few-layer and monolayer h-BN owing to the strong lip–lip interactions between BN layers. Instead of using pulling forces, another method called ball milling uses shear forces to break the vdW bonding between adjacent layers. One of the pioneer works was achieved by Li et al. [281] in 2011, where they mixed the h-BN powder with benzyl benzoate to diminish the ball impacts and contamination, as shown in Fig. 16. Some important parameters in this process are milling ball size, milling speed, milling agent and ball-to-powder ratio [281, 282]. For example, high-energy ball mills can produce strong forces to break particles and crystalline structures. On the contrary, a planetary mill can only apply shear forces on the milling materials [281]. In 2008, Han et al. [283] first exploited the growth of mono- and few-layer h-BN nanosheets by a chemical-solution-derived method. They put h-BN single crystals into a 5-ml 1,2-dichloroethane solution of poly (*m*-phenylenevinylene-co-2,5-dioxy-*p*-phenylenevinylene) for sonication, which could disperse and fracture the crystals into h-BN nanosheets. To effectively exfoliate h-BN nanosheets, a variety of solvents have been applied to sonicate

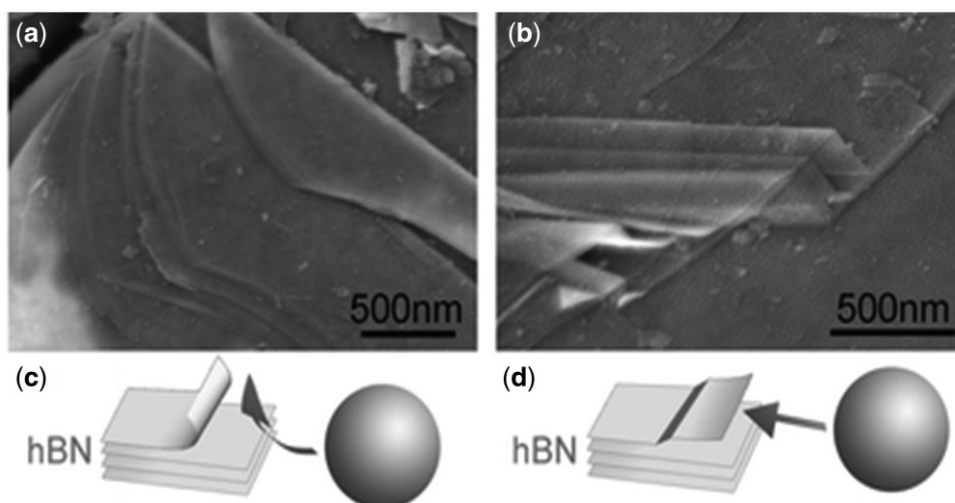


Figure 16: (a) and (b) SEM images of h-BN nanosheets produced by two different exfoliating mechanisms. (c) and (d) h-BN nanosheets exfoliated from the edge and h-BN nanosheets cleavage from the top surface, respectively [281]. Reprinted with permission from Ref. [281]. Copyright 2011, Royal Society of Chemistry.

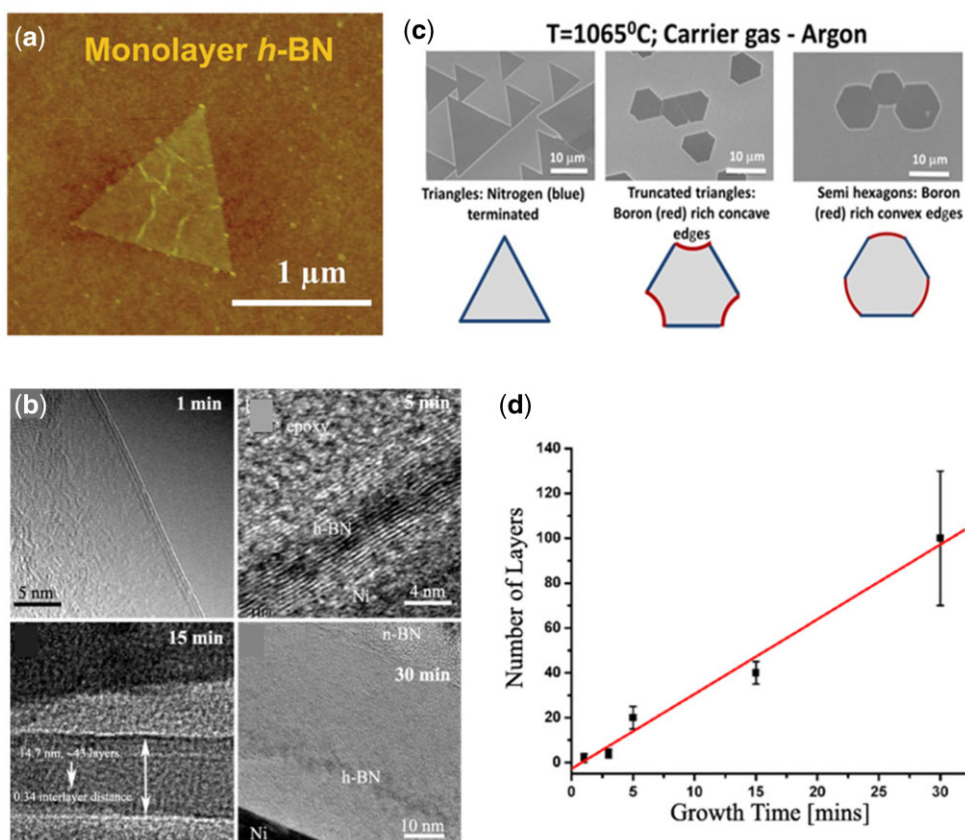


Figure 17: (a) Monolayer h-BN with a triangular shape [256]. Reprinted with permission from Ref. [256]. Copyright 2012, American Chemical Society. (b) Top: SEM images of h-BN grown at 1065°C. Bottom: Schematic of the shape and termination of the correlated h-BN [294]. Reprinted with permission from Ref. [294]. Copyright 2015, American Chemical Society. (c) TEM images of h-BN thin films grown by CVD for 1, 5, 15 and 30 min. Reprinted with permission from Ref. [298]. Copyright 2012, American Chemical Society. (d) Number of h-BN layers as a function of growth time [298]. Reprinted with permission from Ref. [298]. Copyright 2012, American Chemical Society.

the h-BN crystals, including *N,N*-dimethylformamide (DMF), 1,2-dichloroethane and molten salts [241, 284, 285]. For example, DMF, a strong polar solvent whose surface energy can overcome the van der Waals forces, can efficiently exfoliate h-BN via the interactions between the DMF molecules and the h-BN [241].

#### Chemical vapor deposition

CVD is one of the most popular methods to fabricate 2D materials, including graphene, transition metal dichalcogenides (TMDs) and h-BN [286–288]. The pioneering work of h-BN grown by CVD was done by Paffett et al. [289] in 1990, where they grew

h-BN on Pt(111) and Ru(111) surfaces by the adsorption and decomposition of borazine. Other metal substrates have also been widely applied for the growth of h-BN, including Cu [239, 256, 290], Ni [291–293], Au [294] and Cu–Ni alloy [295]. Kim et al. [256] exploited the low-pressure CVD growth of monolayer h-BN on Cu substrate, in which h-BN preferred to form a triangular shape because N-terminated edges are more energetically favored, as shown in Fig. 17a. The ratio of N and B can determine the shape of h-BN crystals. Stehle et al. [294] reported that the evolution of h-BN shape from triangular shape to truncated triangles and finally to hexagonal shape is controlled by the distance between the precursor and the Cu substrate along with the increased ratio of B to N (Fig. 17b). The size, shape and number of layers of h-BN crystals can be readily tuned during CVD growth. To increase the size of h-BN, it is essential to reduce the nucleation density on the substrates. Lu et al. [295] suggested that the nucleation density can be greatly reduced by using rational designed Cu–Ni alloy. When adding 10–20 atom% Ni, the growth is controlled by the surface-mediated mechanism on Cu substrates. With increased Ni concentration, the growth is decided by the solid–gas reactions of Ni–B and Ni–N. Lee et al. [296] reported the synthesis of wafer-scale single-crystal h-BN on molten Au anchored on W foil. During the growth process, the h-BN grains merge into a hexagonal structure without the formation of grain boundaries. The feeding rate of precursor gases also plays an important role in the enlargement of h-BN. Song et al. [297] successfully prepared large single-crystal h-BN domains up to 72  $\mu\text{m}$  by using a folded Cu-foil, which reduced the feeding rate of the precursor and the nucleation density. Ismach et al. [298] showed that the thickness of h-BN can be tuned by parameters such as temperature, time and gas precursors. They used ammonia and diborane as gas precursors for N and B and performed the CVD growth above 800°C. Under such a condition, the number of layers has a linear relationship with time, which can facilitate the thickness control of h-BN (Fig. 17c and d).

#### Physical vapor deposition

There are several types of physical vapor deposition methods used in growing h-BN, including pulsed laser deposition (PLD) [299, 300], atomic layer deposition (ALD) [301], sputtering [302–306] and MBE [307, 308]. Sajjad et al. systematically studied the synthesis of single-crystal and polycrystalline h-BN nanosheets via CO<sub>2</sub>-PLD with a size up to 50 × 50  $\mu\text{m}^2$ , as indicated in Fig. 18a. They used carbon-doped h-BN nanosheets to fabricate Schottky diode with reserve breakdown voltage around –70 V and gas sensors for CH<sub>4</sub> [299, 300]. Orlander et al. [301] grew h-BN thin films by both ALD and laser-assisted ALD (LALD) while LALD offered a

higher growth rate and better stability. Sputtering growth of h-BN has been achieved on different substrates including Ru, Au, Ni, Cu and AlN [302–306]. Sutter et al. [302] used magnetron sputtering to deposit h-BN films with controllable thickness on Ru(0001) substrates (Fig. 18b). Nakhaie et al. [307] demonstrated the synthesis of h-BN thin films on Ni foil by MBE with temperatures around 730–835°C. Later, they have achieved the h-BN/graphene heterostructures by MBE, which offers a pave for the production of large-area uniform 2D heterostructures [308].

#### h-BN devices and applications

##### Electronics

Owing to its UWBG, relatively large dielectric constant and high breakdown electric field, h-BN serves as an outstanding dielectric material in transistors and capacitors. h-BN has long been used as a gate dielectric in diamond field-effect transistors (FETs). Diamond FETs suffer greatly from the defects in the amorphous gate dielectric and poor dielectric/diamond interface, which degrade the carrier mobilities. h-BN, on the other hand, possesses a flat and defect-free surface, which largely reduces the defect densities at the interface when used in diamond FETs. Sasama et al. [309] fabricated such FETs with high mobility (>300  $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ ) and low sheet resistance (<3  $\text{k}\Omega$ ), as shown in Fig. 19a and b. Recently, Yang et al. [310] deposited h-BN in AlGaIn/GaN metal–insulator–semiconductor high electron mobility transistors (MISHEMTs) as a gate dielectric, resulting in extremely low off-state current of 10<sup>–8</sup>  $\text{mA mm}^{-1}$  and high on/off ratio of 10<sup>9</sup>. Bendable capacitors using h-BN as the insulator layer were achieved by Guo et al. [311] where they transferred the h-BN thin film to a polyethylene terephthalate (PET) film with Au electrodes. The capacitors have stable performance under bending and have a dielectric strength of around 9  $\text{MV cm}^{-1}$ .

Having a flat and inert surface with few defects and dangling bonds, h-BN is an outstanding substrate and an excellent encapsulation material, especially for low-dimensional materials such as graphene and TMDs. Dean et al. [312] fabricated graphene-on-h-BN devices with extremely high hall mobility of 40,000  $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$  at room temperature by transferring bilayer graphene onto the h-BN substrate. Yang et al. [313] demonstrated the direct epitaxial growth of large-area single-crystal graphene on h-BN substrates with a fixed stacking order by a remote plasma-enhanced CVD method. Lee et al. [314] encapsulated MoS<sub>2</sub> with h-BN to form MoS<sub>2</sub> FETs, which improved the stabilities of the devices with little mobility degradation and

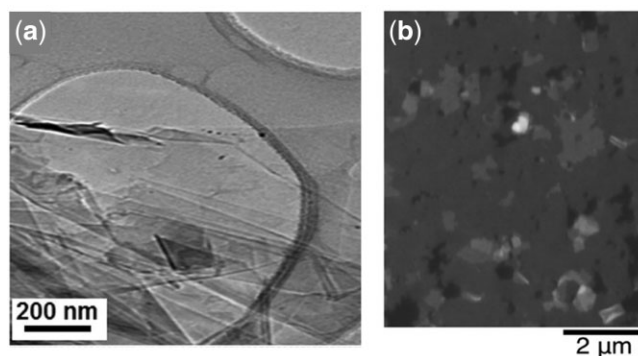
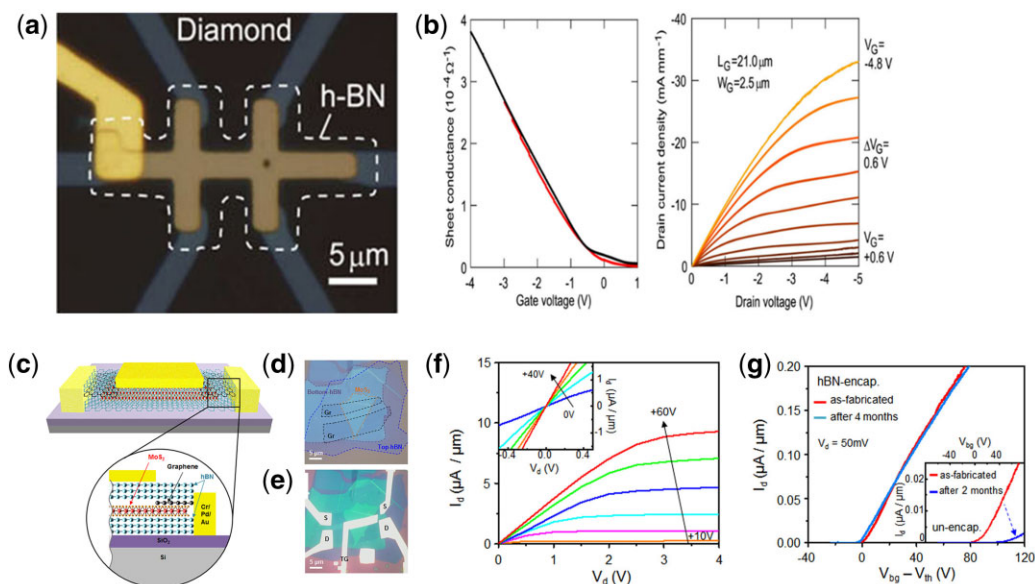
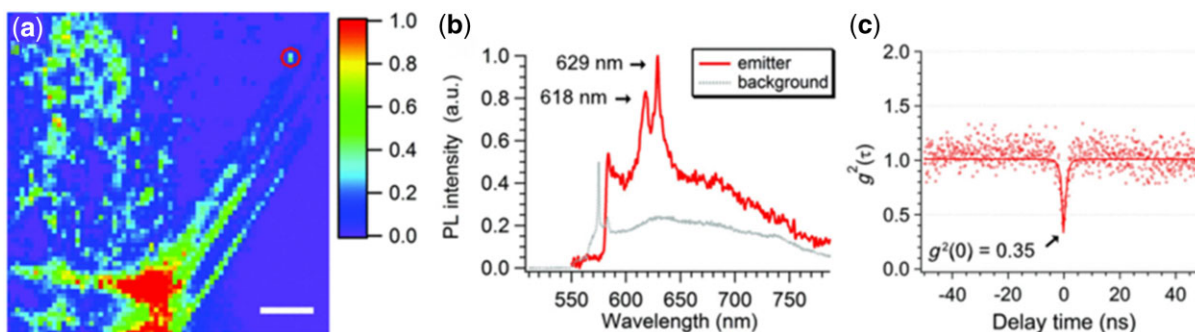


Figure 18: (a) A TEM image of h-BN made by CO<sub>2</sub>-PLD [300]. Reprinted with permission from Ref. [300]. Copyright 2013, American Chemical Society. (b) SEM image of a three-layer h-BN film on Ru(0001)/Al<sub>2</sub>O<sub>3</sub> by magnetron sputtering [302]. Reprinted with permission from Ref. [302]. Copyright 2013, American Chemical Society.



**Figure 19:** (a) Optical micrograph image of diamond FETs using h-BN as gate dielectric [309]. (b) Transfer and output characteristics of diamond FETs [309]. From Ref. [309], licensed under a Creative Commons Attribution (CC BY) license. (c) Schematic of the MoS<sub>2</sub> FET encapsulated by h-BN [314]. (d) The optical image of h-BN/graphene/MoS<sub>2</sub>/h-BN heterostructure [314]. (e) The optical image of the MoS<sub>2</sub> FET encapsulated by h-BN [314]. (f) Output characteristics of the MoS<sub>2</sub> FET. The inset shows the Ohmic contact between graphene and MoS<sub>2</sub> [314]. (g) Transfer characteristics of the MoS<sub>2</sub> FET encapsulated by h-BN without degradation after 2 months. The inset shows the transfer characteristics of the MoS<sub>2</sub> FET without encapsulation [314]. Reprinted from Ref. [314] with permission. Copyright 2015, American Chemical Society.



**Figure 20:** (a) A confocal map of bulk h-BN displaying an isolated emission center and other ensemble emissions [318]. (b) A photoluminescence spectrum of the isolated emission center circled in the confocal map at room temperature [318]. (c) A second-order autocorrelation measurement from the emitter center in (b) shows a dip of  $\sim 0.35$  [318]. Reprinted with permission from Ref. [318]. Copyright 2016, American Physical Society.

threshold voltage shifts in the ambient for 2 months, as shown in Fig. 19c-g.

### Photonics

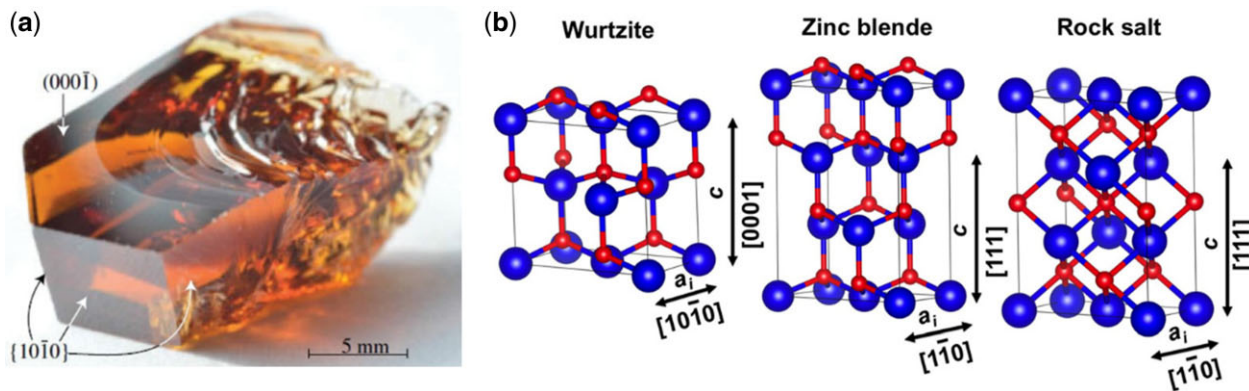
Due to its excellent optical properties, h-BN serves as a promising platform for photonic applications. With an UWBG ( $\sim 6.0$  eV) and strong UV absorption and emission, h-BN is an outstanding material for optoelectronics in the DUV range, including DUV detectors, emitters and lasers [283, 315–317]. Li et al. [316] fabricated h-BN epilayer-based metal–semiconductor–metal detectors with a cut-off wavelength at 230 nm and a breakdown electric field of about  $4.4 \text{ MV cm}^{-1}$ . Watanabe et al. used the HPHT method to grow h-BN single crystals, which showed a sharp UV luminescence peak at 215 nm and lased under electron-beam excitation [283]. The defects in h-BN provide a platform for exploring exciting quantum properties and applications. As mentioned above, h-BN is extremely suitable for SPE. Besides their work in quantum emission of h-BN monolayers [295], Tran et al. [318] also observed room temperature SPE in

bulk h-BN in the visible and the near-infrared spectrum with a lifetime near 1.0 ns, as shown in Fig. 20. Furthermore, Gottscholl et al. [319] realized the room-temperature optical initialization and read-out of  $V_B^-$  defect centers with  $D_{3h}$  group symmetry in h-BN. Based on electron paramagnetic resonance (EPR) spectroscopy and optically detected magnetic resonance (ODMR) measurements, they found that the defect in h-BN has a triplet ground state and a ZFS of  $\sim 3.5$  GHz. They also achieved the optical polarization by optical pump, which is a primary step for coherent spin manipulation.

### Other applications

With extraordinary thermal conductivity and excellent mechanical properties, h-BN is a crucial material to combine with polymers to enhance their thermal and mechanical performance. h-BN has been widely introduced into polymers as nanofillers to produce thermal conductive composites and improve their mechanical strength [241, 320–324]. For example, with the incorporation of only 0.3 wt% h-BN nanosheets, the coefficient of





**Figure 21:** (a) Photograph of a bulk AlN crystal [327, 328]. Reprinted with permission from Ref. [327]. Copyright 2013, IOP Science. (b) Schematics of wurtzite, zinc blende and rock salt structures of AlN [326]. Reprinted with permission from Ref. [326]. Copyright 2016, AIP Publishing.

thermal expansion of PMMA was largely reduced from 184 to 160 ppm °C<sup>-1</sup>, and the elastic modulus was enhanced from 1.74 to 2.13 GPa [241]. The orientation of h-BN nanosheets can influence the thermal conductivity of composites owing to the anisotropic nature of h-BN. Cho *et al.* [322] used magnetic fields to obtain vertically or horizontally aligned h-BN nanosheets in polysiloxane/h-BN nanosheets composite films. Both the thermal conductivity and the transmittance of composite films were improved due to the preferred orientation.

## PART IV ALN

### AlN materials and synthesis

AlN has an UWBG of 6.1 eV [325], wide spectral transparency from UV to mid-infrared wavelength and a high critical electric field of ~12 MV cm<sup>-1</sup>. AlN has three crystal structures (Fig. 21), including cubic zinc blende, hexagonal wurtzite and rock salt [326]. With the largest bandgap (~6.1 eV) among the commonly used semiconductors, the hexagonal AlN is the most widely studied and is relatively easy to grow. The cubic zinc blende and rock salt AlN are metastable and require extreme growth conditions. In the following section, we will introduce wurtzite AlN due to its wide applications.

To date, both bulk AlN crystals and epitaxial AlN thin films have been demonstrated. For bulk AlN growth, the common single crystal growth technologies such as hot melt and solution growth methods are challenging [328–331], because AlN crystals have an ultra-high melting point of ~2800°C and a high dissociation pressure of 20 MPa [328]. Currently, bulk AlN crystals are usually grown by physical vapor transport (PVT) method [332–336] with a low dislocation density of <10<sup>4</sup> cm<sup>-2</sup> and no low-angle grain boundaries. There are usually two substrates used for the large-size AlN PVT growth process: small-size AlN seeds through multi-step iterative growth and large-size SiC seeds [337–340]. The former has a very low growth rate and the latter might be a potential substrate for growing large-area AlN crystals [341]. However, there are some challenges to using SiC seeds, including contamination of silicon and carbon, cracking [336, 342–344], thermal expansion coefficient mismatch and defect generation. Hu *et al.* [342] optimized PVT growth parameters for growing AlN layers on SiC, where SiC substrates with off-axis angles and Si-face were beneficial for improving surface morphology and crystalline quality. Gamov *et al.* [345] investigated the point defect and the resultant photochromism in PVT-grown AlN using UV irradiation and observed pairs of

complementary absorption bands 2.6–4.8 and 3.4–4.5 eV along with several different absorption bands.

For epitaxially grown AlN films, many substrates have been utilized, including SiC [344, 350–353], Si [354, 355], AlN [356–359], sapphire [346, 350–364], diamond [365] and polyimide [366, 367]. Sapphire is a widely used substrate for AlN epitaxial growth due to its relatively low cost. However, AlN on sapphire exhibits high threading dislocation density (TDD), which can increase current leakage and compensate free carriers in doped layers, especially for n-type AlN film [361, 368]. SiC and Si substrates are usually used for large-area AlN growth via self-seeds technology [341]. But high-temperature growth methods would lead to the formation of an interfacial layer between AlN and SiC or Si substrates and degraded interfaces. Several epitaxy methods, including MOCVD, MBE, PLD and sputtering, have been demonstrated for the AlN epitaxial growth. When AlN films are grown on sapphire substrates using MOCVD, the TDD of the films is usually ~10<sup>8</sup>–10<sup>10</sup> cm<sup>-2</sup>. This high TDD can be reduced below ~10<sup>8</sup> cm<sup>-2</sup> by epitaxial lateral overgrowth (ELO) with low-temperature MOCVD [346, 369]. Long *et al.* [370] reported crack- and strain-free AlN epilayers with a thickness of up to 10.6 μm using MOCVD on patterned sapphire substrates. Demir *et al.* [346] explored a sandwich temperature method to grow thick AlN epilayers with improved crystal quality and surface morphology, where a relatively low-temperature thick AlN layer was sandwiched between two high-temperature thin AlN layers (Fig. 22a). Washiyama *et al.* [371] revealed that post-growth thermal annealing could further reduce the defect density via the dislocation climbing through vacancy core diffusion processes. Moreover, the MOCVD-grown AlN epilayers on AlN single-crystal substrates have a low TDD of ~10<sup>3</sup> cm<sup>-2</sup> [372], which is close to that of the bulk AlN crystals.

MBE growth of AlN has been demonstrated since 1998 using sapphire substrates [337]. And the modification of nitrated sapphire surface before the AlN growth that combines the deposition of a small amount of Al (1–2 monolayers) and a subsequent surface treatment under ammonia flux was the key to the improvement of surface morphology [373]. Yin *et al.* [347] grew AlN thin films on Si by MBE on a nanowire template under a nitrogen (N)-rich environment (Fig. 22b–d). Nemoz *et al.* [374] studied the effect of high-temperature post-annealing in N<sub>2</sub> on AlN films, where the annealing conditions had a strong influence on the surface roughness and morphology of AlN films. The optimized post-annealing process can lead to a significant reduction in both edge and mixed threading dislocations. When

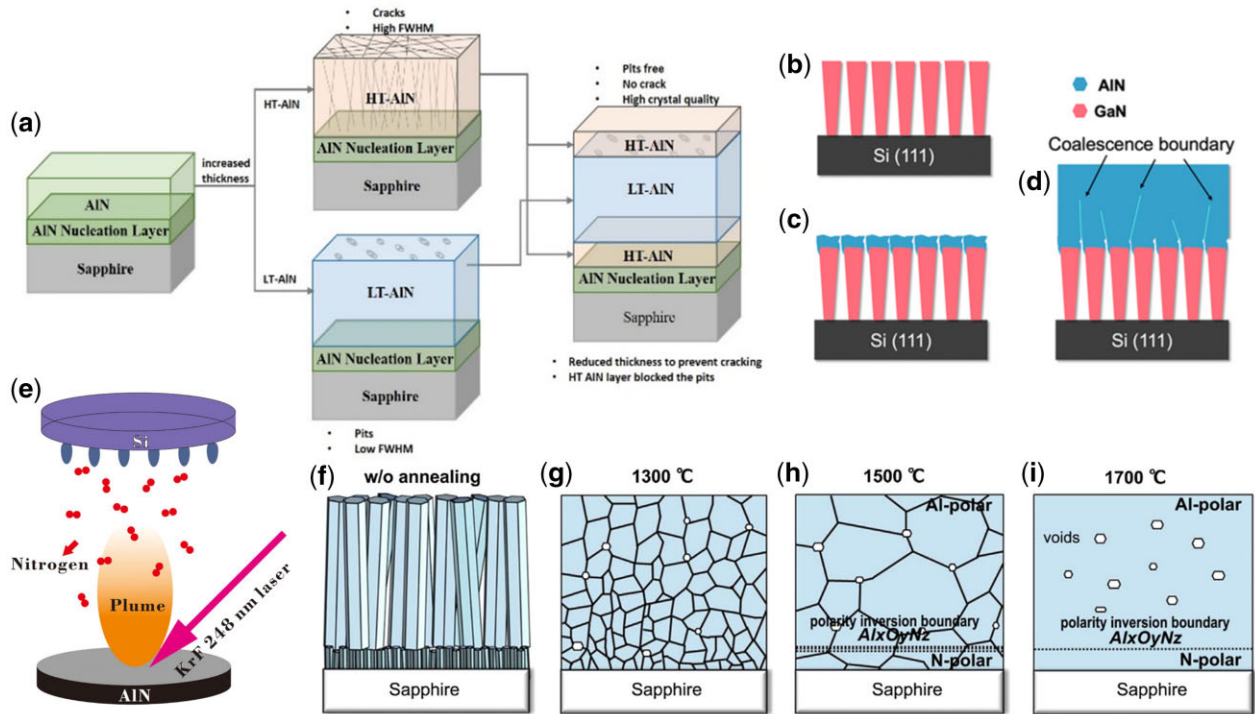


Figure 22: (a) Schematic of sandwiched low-temperature (LT) AlN between high-temperature (HT) AlN layers [346]. Reprinted with permission from Ref. [346]. Copyright 2018, IOP Publishing, Ltd. (b)–(d) MBE growth of nanoscale AlN crystals on the GaN nanowire template [347]. Reprinted with permission from Ref. [347]. Copyright 2021, American Physical Society. (e) Illustration of PLD growth of AlN film [348]. (f)–(i) Mechanism of sputtered AlN film quality improvement at different annealing temperatures [349]. Reprinted with permission from Ref. [349]. Copyright 2018, Elsevier.

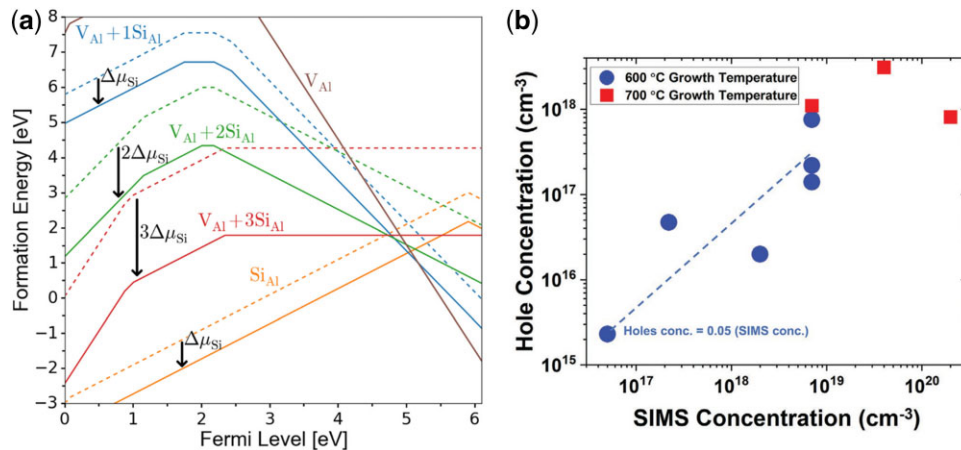


Figure 23: (a) Formation energy as a function of Fermi level for  $V_{Al}$ ,  $Si_{Al}$  and  $V_{Al} + nSi_{Al}$  complexes. The dotted and solid lines represent the low and high [Si] sides of the compensation knee, respectively [384]. Reprinted with permission from Ref. [384]. Copyright 2018, AIP Publishing. (b) Hole concentration as a function of SIMS concentration in Be doped AlN [392]. Reprinted with permission from Ref. [392]. Copyright 2021, John Wiley and Sons.

using Si or SiC substrates, PLD is a preferable choice for improving interface quality due to the lower growth temperature [348, 360, 375–377], where its schematic is shown in Fig. 22e. The interfacial reactions between AlN films and Si or SiC substrates can be effectively controlled by reducing the amorphous interfacial layer (e.g. SiAlN) between AlN and the substrate [348]. For the sputtering growth of AlN films, Shojiki’s group used a sintered AlN target and high-temperature face-to-face annealing and reduced dislocation density with increasing AlN film thickness [378]. Liu et al. [379] demonstrated the polarity tuning between N-polar AlN and Al-polar AlN with different gases during

sputtering. And the improvement mechanism by high-temperature annealing in nitrogen ambient was revealed [349], as shown in Fig. 22f–i.

### Current status of AlN doping

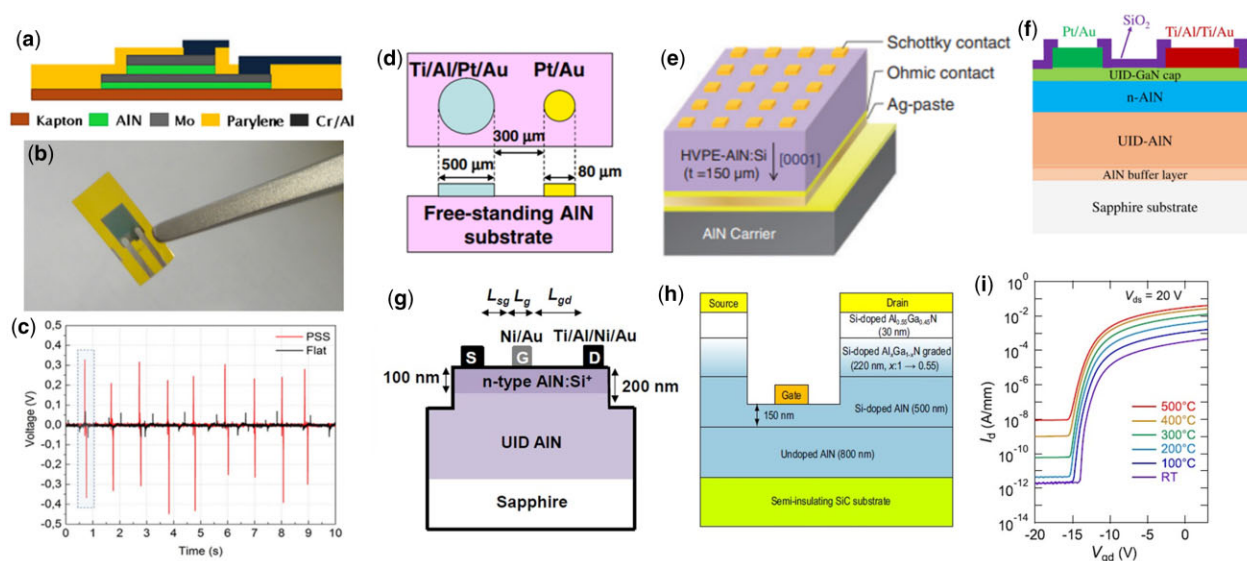
Effective n-type doping in AlN is critical to realizing AlN-based devices for various electronic and photonic applications. In the last two decades, silicon has been the most common donor in AlN [380–383]. In GaN, Si is a shallow donor. However, with increasing Al, silicon is always not a shallow donor in AlGaIn [384]

due to the DX transition near the CB edge with ionization energy up to 150–200 meV [385]. Furthermore, a compensation knee phenomenon was observed in AlN with increasing Si doping. At low Si doping, an increase in the Si concentration leads to a commensurate increase in free electrons; however, increasing Si concentrations reduces free electron concentrations at high Si doping. Harris et al. [384] proposed a mechanism to explain the compensation knee observed in the Si doping in AlN, where the  $V_{Al} + nSi_{Al}$  complexes ( $n$  is the number of Si atoms in the complex) play a key role. As shown in Fig. 23a, the formation energies of the  $V_{Al} + nSi_{Al}$  complexes change with Si chemical potential at different rates controlled by  $n$  values. The  $V_{Al} + 2Si_{Al}$  and  $V_{Al} + 3Si_{Al}$  complexes are more impacted by changes in Si availability than  $V_{Al} + 1Si_{Al}$  and  $Si_{Al}$  [384]. In low Si doping, the dominant  $Si_{Al}$  and  $V_{Al}$  determine the Fermi level, where the Fermi level (free electron concentration) increases with increasing Si doping. In high Si doping,  $V_{Al} + 2Si_{Al}$  and  $V_{Al} + 3Si_{Al}$  complexes dominate and the Fermi level (free electron concentration) decreases with increasing Si doping. To date, high n-type conductivity and free electron concentration of Si-doped AlN film can only be achieved when the TDD of the film is extremely low, such as in bulk AlN or AlN epitaxially grown on single-crystal AlN substrates. Breckenridge et al. [386] demonstrated Si implanted AlN film on single-crystal AlN with a free electron concentration of  $5 \times 10^{18} \text{ cm}^{-3}$  and ionization energy of 70 meV by a non-equilibrium annealing process. In addition, it is also important to suppress the self-compensation process, which can be realized via  $V_{Al}-nSi_{Al}$  complexes by defect quasi-Fermi level (dQFL) control [386]. Mg, Be and Na are potential acceptors in AlN by first-principle calculations [387]. Mg is the most commonly used acceptor in GaN [388], but the ionization energy of Mg in AlN is 500–630 meV, which makes it difficult to realize high conductivity p-type AlN films [389–391]. Recently, Be-doped p-type AlN was experimentally demonstrated with a high hole concentration of  $5 \times 10^{18} \text{ cm}^{-3}$  [392]. As shown in Fig. 23b, the average Be activation

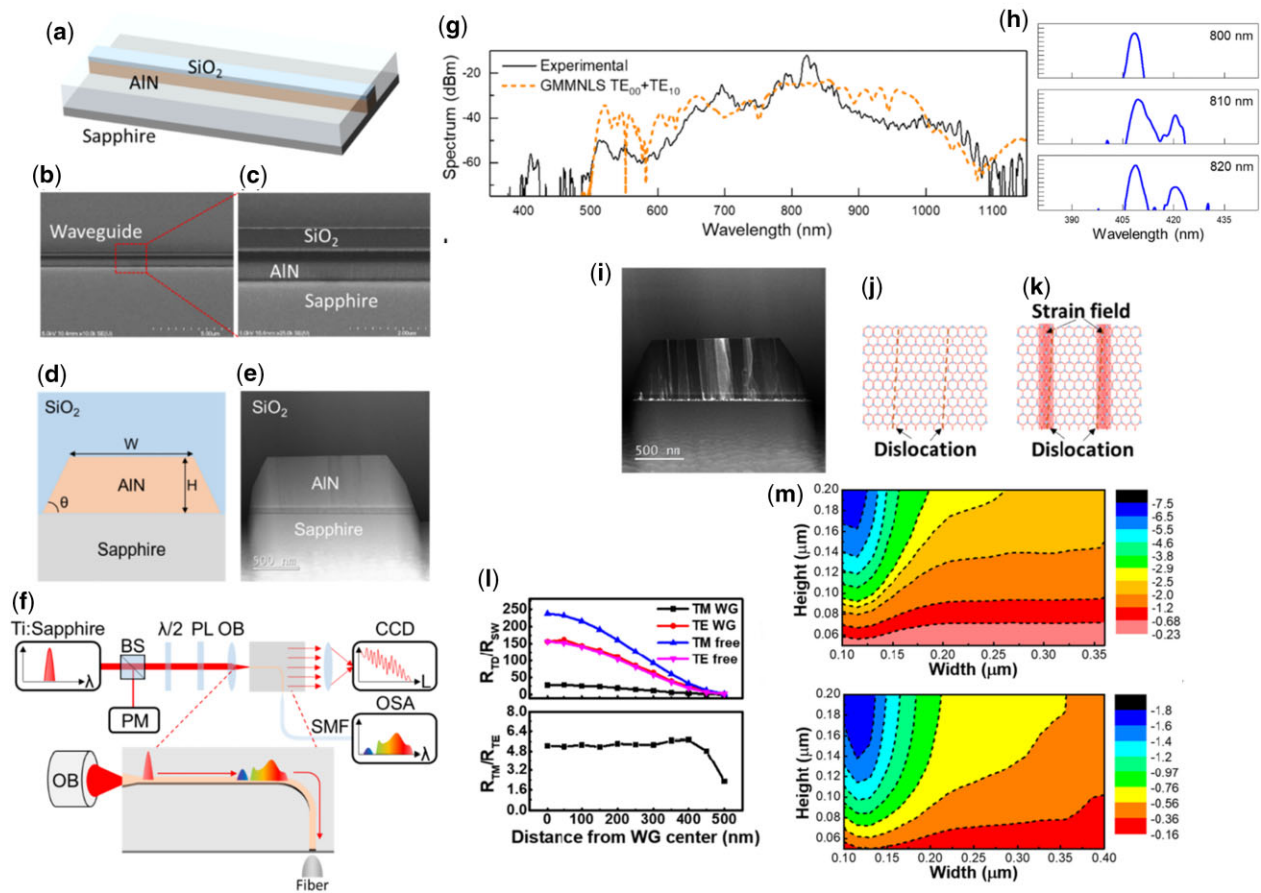
efficiency in AlN films was 5% at 600°C and 12% at 700°C. In addition, Wahl et al. [393] reported Na implanted AlN and annealing of implanted AlN at 600–900°C played an important role in the interstitial Na to the cation substitutional sites, although no p-type conductivity was observed.

## AlN devices and applications

Due to AlN's excellent piezoelectric and dielectric properties, various AlN-based functional devices have been demonstrated, including micro-electromechanical systems (MEMS) [366, 394–397], energy harvesters [394], ultrasonic transducers [395] and resonators [398]. Figure 24a and b shows the schematic of the device cross-section and the image of AlN flexible energy harvester, respectively [395]. This device could generate electrical energy from human motion at a very low moving speed with a generated voltage of as high as 0.7 V (Fig. 24c), which can be used for flexible skin as wearable energy harvesters. AlN also has great potential for power devices due to AlN's large critical electric field of  $12 \text{ MV cm}^{-1}$  and thermal conductivity of  $340 \text{ W m}^{-1} \text{ K}^{-1}$  [6]. Irokawa et al. [359] reported lateral Pt/AlN SBDs on undoped AlN single-crystal substrates (Fig. 24d) with a turn-on voltage of 2.6 V, a breakdown voltage of <50 V and an ideality factor of 12. The unintentionally doped AlN substrates showed n-type conductivity, possibly due to the unintentionally doped oxygen. The high ideality factor was attributed to defects and/or interfacial states at the metal/semiconductor interface. Kinoshita et al. [399] demonstrated vertical AlN SBDs on homoepitaxially grown AlN layers (Fig. 24e) with a turn-on voltage of 2.2 V, a breakdown voltage of 550–770 V and an ideality factor of 8. The Si-doped AlN layer was grown by HVPE on the AlN substrate that was subsequently removed for ohmic contact formation. Fu et al. [362] demonstrated the first 1-kV class AlN SBD on low-cost sapphire substrates by MOCVD. As shown in Fig. 24f, the epitaxy structure consisted of an AlN buffer layer, a



**Figure 24:** (a) Cross-section and (b) image of the flexible device for energy harvesting [395]. Reprinted with permission from Ref. [395]. Copyright 2016, Elsevier. (c) Generated off-state voltage for the flexible devices with a pre-stressed structure (PSS, red line) and a flat structure (black line) in folding/unfolding states [395]. Reprinted with permission from Ref. [395]. Copyright 2016, Elsevier. (d) Schematic of the lateral AlN SBDs on bulk AlN single-crystal substrate [359]. Reprinted with permission from Ref. [359]. Copyright 2012, IOP Science. (e) Schematic of AlN SBDs fabricated on an HVPE-AlN: Si substrate via substrate removal [399]. Reprinted with permission from Ref. [399]. Copyright 2015, IOP Science. (f) Schematic of fabricated AlN SBDs on sapphire by MOCVD [362]. Reprinted with permission from Ref. [362]. Copyright 2017, IEEE Xplore. (g) Schematic of AlN MESFETs by Si ion implantation [6]. Reprinted with permission from Ref. [6]. Copyright 2018, IOP Science. (h) Schematic and (i) temperature-dependent transfer characteristics of AlN MESFETs with epitaxially grown AlN channel and AlGaN contact layers [5]. Reprinted with permission from Ref. [5]. Copyright 2019, AIP Publishing.



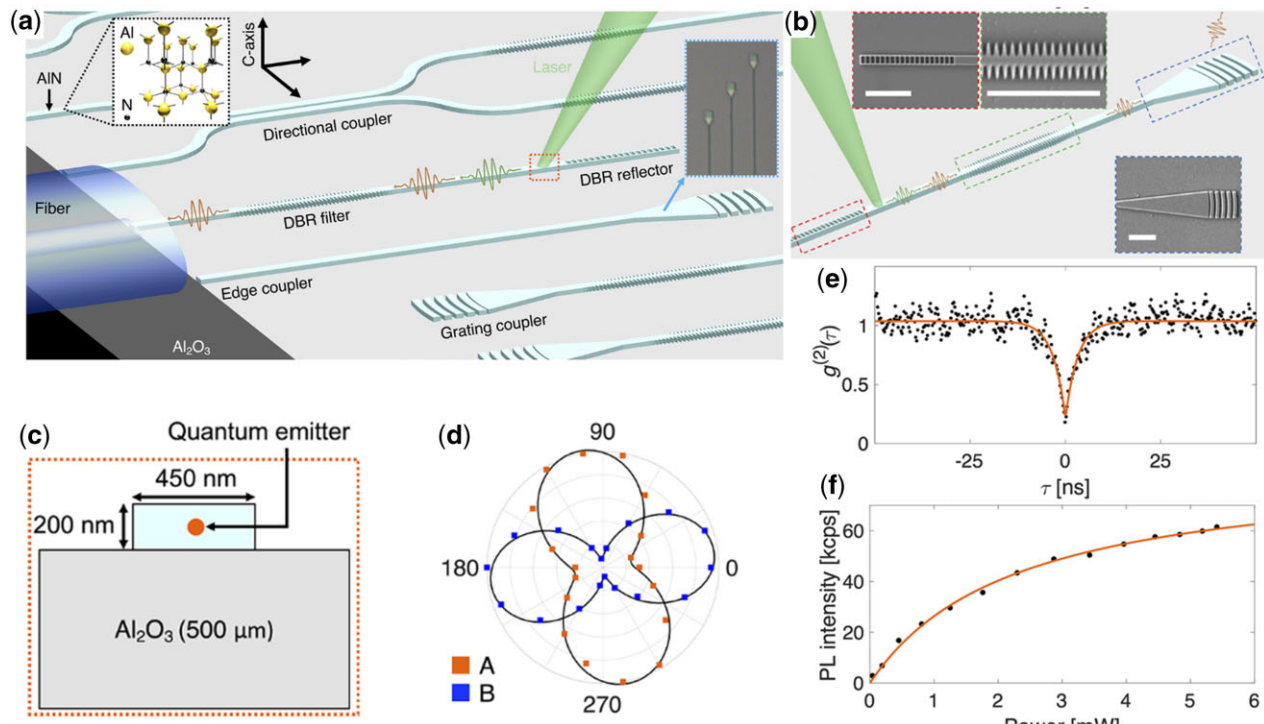
**Figure 25:** (a) Schematic of the AlN waveguide fabricated on sapphire. The coating and cladding layer is semitransparent for illustration purposes [401]. (b) and (c) SEM images of the AlN waveguides [401]. (d) Cross-sectional schematic of the AlN waveguide [401]. (e) TEM image of a typical AlN waveguide. (f) Testing setup for characterizing the AlN waveguide. BS,  $\lambda/2$ , PL, PM and OB are beam splitters, half-wavelength plates, polarizers and optical objective lenses, respectively [401]. (g) The experimental supercontinuum spectrum and the simulated spectrum for the AlN waveguide. (h) SHG signal near 400 nm at different pumping wavelengths [401]. Reprinted with permission from Ref. [401]. Copyright 2021, American Physical Society. (i) Cross-sectional TEM image of AlN waveguides [400]. (j) and (k) The dielectric equivalent of threading dislocation for scattering analysis [400]. (l) Threading dislocation scattered power versus sidewall non-ideality scattered power  $R_{TD}/R_{SW}$  and scattered power ratio between TM and TE mode  $R_{TM}/R_{TE}$  as a function of distance from waveguide center [400]. (m) The loss map for threading dislocation induced scattering loss for TE and TM modes [400].

1- $\mu\text{m}$ -thick resistive UID AlN underlayer, a 300-nm Si-doped n-AlN layer and a 2-nm UID GaN capping layer. The AlN SBDs had a turn-on voltage of 1.2 V, an on/off ratio of  $\sim 10^5$ , an ideality factor of 5.5 and a record breakdown voltage of 1 kV. Recently, AlN MESFETs were demonstrated on implanted n-type AlN and epitaxially grown AlN with excellent high-temperature performance (Fig. 24g–i) [5, 6].

III-nitrides-based integrated resonators and photonic waveguides have enabled a wide variety of applications, including harmonic generations, comb generations, modulators and quantum emitters [400]. Due to its UWBG and good integration capability, III-nitrides have recently attracted growing attention for applications in UV-visible photonics. Chen *et al.* [401] demonstrated supercontinuum generation using dispersion engineered AlN waveguides with ultralow input power. The schematics and electron microscopy images of the AlN waveguide are shown in Fig. 25a–e and the testing setup is schematically shown in Fig. 25f. The main supercontinuum spectrum ranged from 490 nm to over 1100 nm, with a secondary SHG spectrum from 407 to 425 nm (Fig. 25g and h). Later, Chen *et al.* [400] also studied the threading dislocation-induced optical scattering loss inside AlN waveguides since AlN on sapphire is still highly defective (Fig. 25i). In the vicinity of threading

dislocations, the abnormal atom arrangement (Fig. 25j) results in strain fields near its neighboring sites (Fig. 25k). The effects of single threading dislocation and threading dislocation array on the performance of AlN waveguides were comprehensively studied (Fig. 25l and m). It was found that threading dislocation can dramatically increase the scattering loss and crystallinity improvement of AlN materials is key to high-performance AlN waveguides. In addition, AlN waveguide geometry and light polarization also play in role in the scattering loss of AlN waveguides, where TM modes and multimode large core AlN waveguides are more susceptible to threading dislocations.

Single deep-level point defects or color centers in AlN are promising candidates for quantum sensing, quantum optics and quantum information processing due to atomic-scale SPE and optically active spin properties [402–405]. Xue *et al.* [404] first observed the SPEs from point defects in AlN films from visible to near-infrared regimes. AlN-on-sapphire platform is also enabling AlN-based PICs, with a wide variety of nonlinear optical effects such as electro-optic modulation, sum/difference frequency generation, parametric frequency conversion and frequency comb generation [405–407]. Lu *et al.* [408] reported an integrated scalable AlN-on-sapphire PIC platform (Fig. 26a and b) based on several pioneering works [409–411]. Quantum emitters



**Figure 26:** (a) Scalable AlN-on-sapphire PICs with integrated quantum emitters [408]. (b) AlN PIC with distributed Bragg reflectors as a filter (green) and a directional reflector (red), as well as a grating coupler (blue) for the dense population of read-out channels on a single chip. The insets are SEM images of the structures, with scale bars of 2  $\mu\text{m}$  in each [408]. (c) Cross-section of the single-mode AlN waveguide on sapphire [408]. (d) Polar plots of PL as a function of linear excitation laser polarization [408]. (e) Autocorrelation measurement of the emitter via waveguide collection only, with  $g^{(2)}(0) = 0.21 \pm 0.08$ . (f) PL intensity saturation response of an emitter with waveguide collection [408]. Reprinted with permission from Ref. [408]. Copyright 2020, American Physical Society.

based on AlN point defects could be embedded within the AlN waveguide for integration (Fig. 26c) with their optical characterizations shown in Fig. 26d–f. These results indicate that the AlN point defect quantum emitters can be integrated with AlN waveguides using conventional AlN fabrication processes, demonstrating the potential of the AlN-on-sapphire platform for PICs.

## SUMMARY AND FUTURE DIRECTIONS

With unique and remarkable properties, UWBG semiconductors are becoming a promising material platform for various devices and applications, including power electronics, photonics, high-frequency applications, MEMS and quantum computation. However, due to their immature status, significant research efforts are still required to understand the basic properties and to develop associated processing techniques for the UWBG materials. First, it is important to realize the large-scale and high-quality synthesis of UWBG single crystals and thin films. A deeper understanding of the growth mechanisms, such as grain size, crystal shape, orientation and defects, is required for effective control and engineering of UWBG material properties. Innovations in synthesis techniques are also critical to scaling up the production of high-purity UWBG crystals. Second, effective doping strategies are still lacking for UWBG materials, which greatly hinders the performance of UWBG devices. To date, it is still very challenging to achieve n-type doping in diamond, p-type doping in  $\text{Ga}_2\text{O}_3$ , p-type doping in AlN and both p-type and n-type doping in BN. The choice of proper dopants and the precise control of doping concentrations and positions should be a topic of comprehensive investigation for UWBG materials, where the possible contamination and damage to the materials should

also be considered. Third, fundamental understanding and associated fabrication techniques of the UWBG heterostructures are crucial for the development of high-performance electronic devices. This research requires collective efforts on topics such as epitaxial growth, defects, interface states, band alignment and charge transfer process. The possible integration of UWBG materials with other electronic materials such as Si, GaN and 2D materials such as graphene, TMDs, can lead to new device concepts in power electronics, flexible electronics and high-frequency devices. Fourth, benefiting from the UWBGs, UWBG materials are especially suitable for UV photonics applications, which will significantly extend the capabilities of the current III-V- and Si-integrated photonics from visible and IR to UV and DUV applications. Finally, UWBG materials are excellent candidates for emerging quantum applications. For example, diamond has been widely researched for quantum sensing and quantum computing applications, while single-photon emitters were developed on BN. With more advanced synthesis techniques, high-quality UWBG crystals with controllable defects can expedite the development of these areas. In summary, UWBG semiconductors represent a new class of functional materials that have remarkable material properties. With further research and innovations, the new understandings and new material processing techniques will enable high-performance devices for a wide range of applications in power electronics, UV photonics and quantum sensing and computing.

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## AUTHORS' CONTRIBUTIONS

M.X., K.F. and Y.Z. led the writing of diamond and h-BN. D.W., D.H.M. and H.F. led the writing of Ga<sub>2</sub>O<sub>3</sub> and AlN. All authors read through the paper and made significant contributions.

## CONFLICT OF INTEREST STATEMENT

The authors declare no conflicts of interest.

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